# SLES: A Novel CNN-based Method for Sensor Reduction in P300 Speller

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Abstract—A Brain Computer Interface (BCI) character speller allows human-beings to directly spell characters using eye-gazes, thereby building communication between the human brain and a computer. Current popular BCI character speller systems employ a large number of sensors, which prevents the utilization of such systems in human's daily life. Using sensor selection methods to select appropriate sensor subsets from an initial large sensor set can reduce the number of sensors needed to acquire brain signals without losing the character spelling accuracy, thereby promoting the BCI character spellers into people's daily life. However, current sensor selection methods cannot select an appropriate sensor subset such that they can further reduce the number of sensors needed to acquire brain signals without losing the spelling accuracy. To address this issue, we propose a novel sensor selection method based on a specific Convolutional Neural Network (CNN) we have devised. Our method uses a parametric backward elimination algorithm which uses our devised CNN as a ranking function to evaluate sensors and eliminate less important sensors. We perform experiments on three benchmark datasets and compare the minimal number of sensors selected by our proposed method and other selection methods to acquire brain signals while keeping the spelling accuracy the same as the accuracy achieved when the initial large sensor selected by our method is lower than the minimal number of sensors selected by other methods in most cases. Compared with the minimal number of sensors selected by other methods, our method can reduce this number with up to 44 sensors.

## I. INTRODUCTION

A Brain Computer Interface (BCI) translates brain signals into computer commands, thereby building communication between the human brain and outside devices. In this way, human-beings can use only the brain to express their thoughts without any real movement. As a result, BCIs become an important communication pathway for the people who lose motor ability, such as patients with Amyotrophic Lateral Sclerosis (ALS) [1] or spinal-cord injury. In recent years, BCI has also been popularly developed for healthy people, in application domains such as entertainments [2], mental state monitoring [3] as well as in IoT services [4]. Electroencephalogram (EEG)-based BCI attracts most of the research due to its noninvasive way of measuring/acquiring brain signals [5]. An EEG-based BCI includes an EEG headset for acquisition of EEG signals as well as a hardware/software platform for processing and translating EEG signals into computer commands. An important application of EEG-based BCIs is the P300 speller [6] because the P300 speller performs outstandingly well among all kinds of EEG-based character spellers.

Nevertheless, the P300 speller is still not used in human's daily life and remains in an experimental stage at research labs. Some of the reasons for this situation are : 1) Current popular EEG headsets in BCI systems used for the P300 speller employ a large number of sensors to achieve high spelling accuracy. For example, the BCI systems Brain Products ActiCHamp, g.HIamp and Biosemi employ up to 160, 256, and 256 sensors, respectively. The price of the EEG headset is significantly high when the number of sensors is large because a lot of sensors require a complicated electrode

Hongchang Shan and Todor Stefanov are with Leiden Institute of Advanced Computer Science, Leiden University, Niels Bohrweg 1, 2333 CA Leiden, The Netherlands. E-mail: hongchang.shan@outlook.com, t.p.stefanov@liacs.leidenuniv.nl cap and a lot of amplifier channels. For example, a 256sensor BCI system (BioSemi) costs 87000 dollars while a 14-sensor BCI system (EMOTIV EPOC+) costs 799 dollars; 2) Employing a large number of sensors makes the P300 speller to consume a lot of power, which is unacceptable for a battery-powered mobile BCI system. Such system employs a wireless EEG headset and a resource-constrained hardware platform for data processing. A large number of sensors increase the amount of the data needed to be recorded and processed, thereby increasing the power consumption of the wireless BCI headset and the hardware platform. This does not allow a mobile P300 speller to work for a long time period on a single battery charge; 3) Employing a large number of sensors strengthens the user's discomfort and increases the installation time of the P300 speller.

To address the aforementioned problems caused by the employment of a large number of sensors, sensor selection methods could be used to select an appropriate sensor subset from an initial large set of sensors while keeping acceptable spelling accuracy. So, a good sensor selection method should enable substantial reduction of the sensors needed to acquire brain signals. Therefore, good sensor selection methods are in urgent need for designing comfortable, cheap, and power-efficient P300 spellers and for promoting such P300 spellers into the human's daily life. Sensor selection methods for the P300 speller have been studied in recent years. For example, [7] [8] [9] [10] employ a backward elimination algorithm as a sensor selection strategy. These works propose different ranking functions to evaluate and eliminate sensors such as the P300 signal detection accuracy, the P300 spelling accuracy [10],  $C_{cs}$  score [7], Signal to Signal and Noise Ratio (SSNR) [8] [9] [10], Area Under the Receiver Operating Characteristic (AUC) [11]. Alternatively, [12] and [13] directly select the important sensors for a given user by analysing the weights of a trained neural network. Unfortunately, the aforementioned sensor selection methods cannot select an appropriate sensor subset such that they can further reduce the number of sensors used to acquire brain signals while keeping the spelling accuracy the same as the accuracy achieved when the initial large sensor set is used. As a consequence, the cost, power consumption, and discomfort of a P300 speller are still unacceptably high when using the aforementioned sensor selection methods to design and configure P300 spellers. In order to further reduce the cost and power consumption of a P300 speller, we propose an effective sensor selection method based on a specific novel Convolutional Neural Network (CNN) we have devised. The novel contributions of this paper are the following:

- Our devised CNN extracts the spatial features related to P300 signals from the input EEG signals. Our sensor selection method uses this CNN to evaluate and rank the sensors in the sensor selection process. This method features an iterative parametric backward elimination algorithm to eliminate and select sensors. The parameter configured in this algorithm controls the training frequency of the CNN and the number of sensors to eliminate in every iteration.
- We perform experiments on three benchmark datasets and compare the minimal number of sensors selected by our proposed method and other selection methods needed to acquire brain signals while keeping the

spelling accuracy the same as the accuracy achieved when the initial large sensor set is used. The results show that, compared with the minimal number of sensors selected by other methods, our method can reduce this number with up to 44 sensors.

The rest of the paper is organized as follows. Section II describes the related work. Section III provides background information on the P300 speller and the datasets used in this paper. Section IV presents the proposed sensor selection method. Section V describes the experimental setup and the experimental results on the comparison of the minimal number of sensors selected by our proposed method and other sensor selection without to acquire brain signals for the P300 speller. Section VI discusses how the number of sensors eliminated in an iteration influences the performance of our proposed method as well as how the CNN network architecture influences the sensor selection VII ends the paper with conclusions.

# II. RELATED WORK

In this section, we describe the related works on sensor selection methods for the P300 speller in BCI.

[7] [10] employ a backward elimination algorithm as a sensor selection strategy. Different ranking functions are proposed to evaluate and eliminate sensors. These ranking functions include the P300 detection accuracy, the average spelling accuracy across different epochs [10],  $C_{cs}$  score [7], Signal to Signal and Noise Ratio (SSNR) [10] and Area Under the Receiver Operating Characteristic (AUC) [11]. In order to select a sensor subset, the backward elimination algorithm either eliminates one sensor [10] or a group of sensors [7] in each iteration of the algorithm. Starting with a set of n sensors in an iteration, the backward elimination algorithm removes each sensor in the current sensor set and evaluates the resulting subsets with (n-1) sensors using the aforementioned ranking functions. The sensor or the group of sensors which removal maximizes the ranking score is eliminated. In contrast to these methods, we proposes a novel ranking function (see Section IV-C) based on a specific novel Convolutional Neural Network (CNN) we have devised. Experimental results (see Section V-B) show that our sensor selection method is able to select a sensor subset with smaller number of sensors needed to acquire the brain signals while keeping the spelling accuracy the same as the accuracy achieved when the initial large sensor set is used, compared with the sensor subset selected by the aforementioned sensor selection methods. Therefore, our sensor selection methods can further reduce the cost and power consumption of the P300 speller.

[12] and [13] propose CNN-based classifiers for character spelling in the P300 speller. By analysing the weights of the spatial convolution layer of their trained CNNs, they determine which sensors are more important in the sensor set. This can be a potential sensor selection method for the P300 speller. However, the problem of such potential method is that it loses important information needed for proper sensor selection. The aforementioned CNNs have multiple convolution layers and only the information of the first layer is used for analysis and sensor selection. Unfortunately, the information needed for proper sensor selection is distributed over all convolution layers, thus the aforementioned methods lose information needed for sensor selection from other convolution layers. In contrast to the aforementioned CNNs, we propose a simple and novel CNN which has only one convolution layer and this layer performs the spatial convolution operation. All the information needed for sensor selection is captured by the weights of this single spatial convolution layer. Moreover, our CNN has similar ability to extract very useful P300-related features compared to the aforementioned CNNs (see Section VI-B). We analyse the weights of the single spatial convolution layer in our CNN to select sensors. Thus, our method uses all the

information available for proper sensor selection compared to the aforementioned methods. As a result, our method can select more appropriate sensor subsets and further reduce the minimal number of sensors needed to acquire brain signals without losing spelling accuracy. For more detailed discussion see Section VI-C.

# III. BACKGROUND

In this section, we provide some background information for the P300 speller method and the benchmark datasets used in this paper.

# A. P300 Speller

The P300 speller is one of the most investigated applica-tions in BCI [14] [15] [6]. A target character is spelled using the property of the P300 signal. As shown in Figure 1, a P300 signal, recorded in EEG, occurs as a positive deflection in voltage with a latency of about 300ms after a rare stimulus is presented to a subject (person). The following method is used to evoke a P300 signal in a subject's brain and then the evoked P300 signal is used to spell characters. The subject is presented with a 6 by 6 character matrix (see Figure 2) and he focuses his attention on a target character he wants to spell. All rows and columns in this matrix are intensified successively and randomly but separately. Two out of twelve intensifications contain the target character, i.e., one target row and one target column. As a result, the target row/column intensification becomes a rare stimulus to the subject. A P300 signal is then evoked by the rare stimulus. By detecting the P300 signal, we can infer which row or column the subject is focused on. By combing the row and column positions, we can infer the target character position. Assume that one epoch includes 12 intensifications, in which there exist one target row intensification and one target column intensification. In practice, people use several consecutive epochs for the P300 speller to infer one target character, because it is hard to use only one epoch to correctly spell one target character [16].



#### B. Datasets

This paper uses three benchmark datasets, namely, BCI Competition II - Data set IIb [17] as well as BCI Competition III - Data set II Subject A and Subject B [18]. In this paper, we use II to denote BCI Competition II - Data set IIb, III-A to denote BCI Competition III - Data set II Subject A, and III-B to denote BCI Competition III - Data set II Subject B. Here, we give a short description of the three datasets.

Dataset II, III-A and III-B are provided by the Wadsworth Center, NYS Department of Health. They are recorded from three different subjects with the BCI2000 platform, using the P300 speller method described in Section III-A. EEG brain signals are collected from 64 sensors. The brain signals are sampled at a frequency of 240Hz. One intensification lasts for 100ms, followed by a 75ms blank period for the matrix. The experiment uses 15 epochs for each character. After each sequence of 15 epochs, the matrix is blank for 2.5s, to inform the subject that this character is completed and to focus on the next character. In all three datasets, there are two separate sub-datasets. We use the first sub-dataset for sensor selection and we call this sub-dataset the preliminary

dataset. We use the second sub-dataset for evaluation of the performance of the P300 speller after sensor selection and we call this sub-dataset the evaluation dataset. In Dataset II, the preliminary dataset has 42 characters and the evaluation dataset has 31 characters. In Dataset III-A and III-B, each preliminary dataset has 85 characters and each evaluation dataset has 100 characters.

#### **IV. OUR SENSOR SELECTION METHOD**

In this section, we present our novel iterative sensor selection method for the P300 speller. We call it Spatial Learning based Elimination Selection (SLES).

#### A. Spatial Learning based Elimination Selection

Our SLES method is described in Algorithm 1. The symbols used in Algorithm 1 and their corresponding descriptions are listed in Table I. The input of SLES is the initial sensor set S and the parameter  $N_s$ . The output of SLES is a set of selected sensor subsets SUB. For each iteration in Algorithm 1, SLES trains SCNN(S) (described in Section IV-B) with the input signals recorded with the sensors in sensor set S (see Line 2 in Algorithm 1). After training  $SCNN_{(S)}$ , the ranking scores *score* for all sensors  $s_j$ in sensor set S are calculated (Line 3-4) using  $SCNN_{(S)}$  and Equation (2) explained in Section IV-C. The sensor with the minimal score is found and removed from sensor set S (Lines 6-7). This reduced sensor set S is the selected sensor subset in this iteration (Line 8). The input parameter  $N_s$  controls the training frequency of  $SCNN_{(S)}$  (Line 1) and the number of sensors to eliminate after training  $SCNN_{(S)}$  (Line 5).

TABLE I THE SYMBOLS USED IN ALGORITHM 1.

Symbol	Description
S	Sensor set.
$s_j$	The <i>j</i> th sensor in <i>S</i> .
С	Number of sensors in the initial sensor set.
SUB	A set of selected sensor subsets.
$sub_m$	A selected sensor subset with <i>m</i> sensors.
SCNN <sub>(S)</sub>	The novel parametric CNN given in Section IV-B
N <sub>s</sub>	Number of sensors to eliminate in an iteration.
score i	The ranking score for $s_i$ .

The sensor to remove.

# Algorithm 1: Proposed SLES algorithm.

Sremove

	<b>Input</b> : A set of $S = \{s_1, s_2,, s_i,, s_C\}, N_s;$
	<b>Output</b> : A set of $SUB = \{sub_1, sub_2, \dots, sub_m, \dots, sub_{C-1}\};$
1	for $1 \le k \le C/N_s$ do
2	Train a $SCNN_{(S)}$ with the input signals recorded using S;
3	for $s_i \in S$ do
4	Calculate <i>score</i> <sub>j</sub> using $SCNN_{(S)}$ and Equation (2);
5	for $1 \le m \le N_s$ do
6	$s_{remove} = argmin \{score_i\};$
	$s_j \in S$
7	$S \leftarrow S - s_{remove};$
8	$sub_{(C-N_S*(k-1)-m)} \leftarrow S;$
	L

#### B. Spatial Convolutional Neural Network

In this section, we introduce our novel parametric Spatial Convolutional Neural Network  $(SCNN_{(S)})$ , which weights are

used in SLES to calculate the ranking scores of sensors. 1) Input Tensor: The input to  $SCNN_{(S)}$  is the tensor (N  $\times$  |S|) shown in Figure 3. S is the sensor set used in Algorithm 1.  $x_{ji}$  denotes the *i*th temporal signal sample in the time domain and this signal sample is recorded with sensor  $s_i$  in sensor set S in the space domain.  $SCNN_{(S)}$ is parameterized by S because the input tensor to  $SCNN_{(S)}$ is constructed by the EEG signals samples acquired using the sensors in sensor set S and S is changed in each main iteration of Algorithm 1. N denotes the number of temporal

signal samples. Here  $N = T_s \times F_s$ , where  $T_s$  denotes the time period between 0 and  $T_s$  posterior to the beginning of each row/column intensification (see Section III-A) and  $F_s$ denotes the signal sampling frequency. In the input tensor, the temporal signal samples are bandpass filtered between 0.1Hz and 20Hz to remove high frequency noise. Then, the temporal signal samples are normalized to have zero mean and unit variance based on each individual pattern and for each sensor. Each individual pattern represents N signal samples in the time period between 0 and  $\dot{T}_s$  posterior to the beginning of each intensification.



2) Spatial Convolutional Neural Network: In our proposed  $\hat{S}CNN_{(S)}$ , there are two layers in total. Table II shows the details of the  $SCNN_{(S)}$  architecture. The first column shows the name of the layers. The second column shows the operation performed in the corresponding layer. The third column shows the kernel size in the convolution layer. The fourth column shows how many feature maps/neurons are employed in the convolution/fully-connected layer.

TABLE II SCNN(S) ARCHITECTURE.

Layer	Operation	Kernel Size	Feature Maps/Neurons
1	Convolution	(1,  S )	10
	Dropout	—	—
2	Fully-Connected	—	2

In Layer 1,  $SCNN_{(S)}$  performs a spatial convolution operation to extract the spatial features related to P300 signals from the input tensor. The detailed calculation in this convolution operation is shown in Equation (1), where  $f_{ki}$  denotes the *i*th datum in the *k*th feature map.  $w_{kj}$  denotes the *j*th weight of the filter and this filter outputs abstract data for the kth feature map. The activation function we employ in this layer is the Rectified Linear Unit (ReLU). In this layer, we employ Dropout in order to prevent the network from overfitting. In this layer, we do not use bias in the convolution operation, thus all the learned features are captured by the weights  $w_{kj}$ . This layer outputs 10 feature maps in total. These generated feature maps are the input to Layer 2.

$$f_{ki} = \sum_{s_j \in S} x_{ji} w_{kj} \qquad (1) \qquad score_j = \sum_{k=1}^{10} |w_{kj}| \qquad (2)$$

In Layer 2,  $SCNN_{(S)}$  performs the fully-connected operation. This layer has two neurons in total. The fullyconnected operation makes correlation between the feature maps generated in Layer 1 and the two neurons. The two neurons represent two classes. One class represents "P300", denoting the presence of a P300 signal. The other class represents "non-P300", denoting the absence of a P300 signal. The activation function employed in this layer is the Softmax function.

3) Training: The training of  $SCNN_{(S)}$  is carried out by minimizing the binary cross-entropy loss function. It uses Stochastic Gradient Descent as an optimizer with momentum and weight decay. The learning rate is set to 0.01. The momentum is set to 0.9. The batch size is set to 128. The weight decay is set to 0.0005. The weights of all neurons in the single convolution layer are regularized by L2 Regularizer.

# C. Ranking Function

Our proposed novel ranking function used in SLES is described in Equation (2), where  $score_j$  is the ranking score for sensor  $s_j$  used in Algorithm 1.  $w_{kj}$  are the weights described in Equation (1). These weights are obtained from the trained  $SCNN_{(S)}$ , described in Section IV-B.2 and used in Algorithm 1. Note that we take the absolute value of the weights in Equation (2) because weights with a large negative value also indicate that the corresponding sensors are important in sensor set *S*.

#### V. EXPERIMENTAL EVALUATION

In this section, we present the experiments, we have performed, in order to compare the minimal number of sensors selected by our method and other methods to acquire EEG signals while keeping the spelling accuracy the same as the accuracy achieved when the initial large sensor set is used. We first introduce our experimental setup and then we present and analyse the obtained experimental results.

# A. Experimental Setup

We use two different implementations of the P300 speller to perform the experiments: one uses the CNN-based classifier OCLNN [16] and the other uses the SVM-based classifier ESVM [7]. We want to confirm the robustness of our SLES method by showing that our method is effective for different P300 speller implementations.

We compare our SLES method with 12 other sensor selection methods. These methods are summarized in Table III. In this table, the first row gives the name of the different methods. The second row describes the sensor elimination algorithms used in the methods, where BE-1 denotes a backward elimination algorithm which eliminates one sensor at a time; BE-4 denotes a backward elimination algorithm which eliminates 4 sensors at a time; "–" denotes that the corresponding method does not use a backward elimination algorithm. The last row indicates the ranking functions used in the methods, where P300 denotes the P300 detection accuracy; Char denotes the average character spelling accuracy across all epochs; AUC denotes Area Under the Receiver Operating Characteristic [11];  $C_{cs}$  denotes the ranking score proposed in [7]; SSNR denote Signal to Signal and Noise Ratio [10]; CCNN and BN3 denote that the corresponding method selects sensors by analysing the weights obtained from the trained networks CCNN [12] and BN3 [13], respectively.

TABLE III

	METHODS COMPARED WITH SLES.													
	$C_1  C_2  C_3  C_4  C_5  C_6  C_7  C_8  C_9  C_{10}  C_{11}  C_{12}$													
Algo.	BE-1	BE-1	BE-1	BE-1	BE-1	BE-4	BE-4	BE-4	BE-4	BE-4	-	-		
Function	P300	Char	AUC	$C_{cs}$	SSNR	P300	Char	AUC	$C_{cs}$	SSNR	CCNN	BN3		

We compare the minimal number of sensors selected by the different methods to acquire EEG signals while keeping the spelling accuracy the same as the accuracy achieved when the initial large sensor set is used. We use the preliminary dataset of Dataset II, III-A and III-B described in Section III-B to perform sensor selection using the different sensor selection methods to select sensor subsets for the corresponding subject. Then, we use the evaluation dataset of Dataset II, III-A and III-B to evaluate the spelling accuracy of the aforementioned P300 speller implementations with the selected sensor subsets. The spelling accuracy is calculated using Equation (3). In this equation,  $acc_k^m$  denotes the spelling accuracy when using the first k epochs for each character and using the EEG signals acquired with the selected sensor subset containing m number of sensors.  $N_k^m$  denotes the number of truly predicted characters when using the first *k* epochs for each character and using the EEG signals acquired with the selected sensor subset containing *m* number of sensors, and *M* denotes the number of all characters in the evaluation dataset. After the evaluation of the spelling accuracy, the minimal number of sensors needed to acquire EEG signals for epoch *k* is calculated as  $m_{min}$ , where  $m_{min}$  is the minimal  $m \in [1, 63]$  which makes  $acc_k^m > = acc_k^{64}$ .

$$acc_k^m = \frac{N_k^m}{M} \tag{3}$$

The setup for our SLES algorithm (Algorithm 1) is the following. The input to SLES is  $S = \{s_1, s_2, ..., s_j, ..., s_C\}$  and  $N_s$ . We set C=64 because the datasets used in the experiments are recorded with 64 sensors. We set  $N_s$ =4. For detailed discussion why  $N_s$ =4 see Section VI-A. SLES uses  $SCNN_{(S)}$  as the ranking function.  $SCNN_{(S)}$  uses the input tensor  $(N \times |S|)$ .  $N = T_s \times F_s = 240$ , where  $F_s = 240$  Hz and we set  $T_s = 1000$ ms because we take each individual pattern to be the signal samples between 0 and 1000 ms posterior to the beginning of each intensification.

#### B. Experimental Results

Table IV and Table V show the minimal number of sensors selected by the different sensor selection methods to acquire EEG signals while keeping the spelling accuracy the same as the accuracy achieved when the initial large sensor set of all 64 sensors is used. The first column in the tables lists the different selection methods we compare. Each row provides the minimal number of sensors selected by a method to acquire EEG signals for different epoch numbers  $k \in [1, 15]$ . A number in bold indicates that the minimal number of sensors selected by the corresponding method is the lowest among all methods. Overall, the minimal number of sensors selected by our SLES method is lower than the minimal number of sensors selected by all other methods in most cases. SLES is able to reduce the minimal number of sensors selected by other methods with up to 44 sensors.

selected by other methods with up to 44 sensors. For the P300 speller with the CNN-based classifier (see Table IV), in 42 out of 45 cases, the minimal number of sensors selected by our SLES is lower than the minimal number of sensors selected by all other method. Our SLES is able to reduce the minimal number of sensors selected by other methods with up to 44 sensors. The largest reduction occurs when comparing the minimal number of sensors selected by SLES with the minimal number of sensors selected by SLES with the minimal number of sensors selected by  $C_8$  on epoch number k = 7 for Dataset III-A. For the P300 speller with the SVM-based classifier (see

For the P300 speller with the SVM-based classifier (see Table V), in 41 out of 45 cases, the minimal number of sensors selected by our SLES is lower than the minimal number of sensors selected by all other methods. Our SLES is able to reduce the minimal number of sensors selected by other methods with up to 40 sensors. The largest reduction occurs when comparing the minimal number of sensors selected by SLES with the minimal number of sensors selected by  $C_{12}$  on epoch number k = 2 for Dataset III-B. Finally, our SLES method is robust because: 1) SLES

Finally, our SLES method is robust because: 1) SLES is effective in reducing the number of sensors when the P300 speller is implemented with different classifiers. From Table IV and Table V, we can see that no matter the P300 speller is implemented with CNN-based classifier or SVMbased classifier, the minimal number of sensors selected by SLES is lower than the minimal number of sensors selected by all other methods in most cases; 2) SLES is effective when our method is used for different subjects, i.e., no matter that SLES is used with Dataset III-A, Dataset III-B or Dataset II, the minimal number of sensors selected by SLES is lower than the minimal number of sensors selected by all other methods in most cases.

# VI. DISCUSSIONS

In this section, we discuss the configuration of input parameter  $N_s$  in SLES (see Algorithm 1). Also, we discuss

TABLE IV MINIMAL NUMBER OF SENSORS SELECTED BY DIFFERENT METHODS FOR DATASET III-A, III-B AND II. THE P300 SPELLER IS IMPLEMENTED USING THE CNN-BASED CLASSIFIER OCLNN [16]. SL DENOTES OUR PROPOSED METHOD SLES.

Dataset III-A	Dataset III-B	Dataset II							
Epochs	Epochs	Epochs							
1 2 3 4 5 6 7 8 9 10 11 12 13 14 15	1 2 3 4 5 6 7 8 9 10 11 12 13 14 15	1 2 3 4 5 6 7 8 9 10 11 12 13 14 15							
SL 17 50 50 25 40 35 20 50 60 20 50 35 21 16 35	SL 25 17 31 27 26 21 27 32 37 32 31 29 34 29 23	SL 11 11 46 15 22 11 9 3 3 3 3 4 3 3 3							
C <sub>1</sub> 27 64 58 59 55 64 43 58 64 29 57 49 29 29 53	<i>C</i> <sub>1</sub> 32 23 <b>31</b> 28 31 24 32 40 43 44 38 32 42 35 26	C <sub>1</sub> 32 30 47 39 38 22 16 6 6 6 6 6 6 7 6							
C <sub>2</sub> 29 64 64 60 59 59 36 60 <b>60</b> 31 56 56 31 28 60	C <sub>2</sub> 28 24 52 38 36 25 35 45 41 41 <b>31</b> 30 42 38 31	C <sub>2</sub> 17 20 55 19 34 15 12 6 5 3 4 <b>3</b> 6 7 7							
C <sub>3</sub> 28 64 64 60 64 60 55 64 64 36 64 55 39 39 46	<i>C</i> <sub>3</sub> 26 29 42 50 35 23 32 54 49 48 38 <b>29</b> 39 38 33	C <sub>3</sub> 18 18 47 18 30 10 12 6 5 <b>3</b> 5 6 6 6 6							
C <sub>4</sub> 53 64 63 64 64 64 60 64 61 61 64 56 55 37 61	C <sub>4</sub> 31 25 61 40 45 24 38 57 60 57 59 55 49 47 43	C <sub>4</sub> 24 27 49 17 28 8 10 7 6 7 4 3 3 5 6							
C <sub>5</sub> 30 64 56 64 55 64 43 63 64 31 64 57 36 33 55	C <sub>5</sub> 44 33 36 34 31 24 34 41 43 48 38 42 42 37 26	C <sub>5</sub> 40 33 48 41 38 35 20 9 8 9 9 8 9 9 8							
C <sub>6</sub> 30 63 64 64 64 64 57 64 64 61 64 53 53 53 59	<i>C</i> <sub>6</sub> 50 56 55 49 48 58 48 50 50 50 48 44 51 49 45	C <sub>6</sub> 48 33 49 47 32 30 28 28 20 15 10 20 10 15 15							
C <sub>7</sub> 44 57 64 64 64 64 62 64 64 55 64 53 59 52 51	C7 52 48 49 49 50 49 49 59 48 48 48 46 45 47 46	C7 44 32 49 48 31 27 27 27 22 18 10 10 8 10 10							
<i>C</i> <sub>8</sub> 49 59 60 63 64 64 64 63 64 60 64 56 56 54 56	<i>C</i> <sub>8</sub> 49 49 54 52 49 54 48 59 49 49 49 44 46 44 44	<i>C</i> <sub>8</sub> 44 36 50 38 33 32 25 25 10 10 10 10 10 17 12							
C9 22 64 56 55 58 64 56 56 64 34 52 48 27 36 <b>34</b>	C <sub>9</sub> 44 56 49 49 40 25 35 39 39 49 51 37 <b>34</b> 35 42	C <sub>9</sub> 45 35 44 34 34 34 25 25 10 17 18 17 15 15 15							
<i>C</i> <sub>10</sub> 34 63 64 64 64 64 59 64 64 61 64 58 61 53 59	<i>C</i> <sub>10</sub> 49 52 55 44 50 51 46 50 52 51 49 50 51 49 50	<i>C</i> <sub>10</sub> 48 35 49 44 40 30 33 29 21 19 20 20 20 18 17							
<i>C</i> <sub>11</sub> 25 64 56 55 58 64 56 56 64 34 54 48 27 36 39	<i>C</i> <sub>11</sub> 44 56 49 49 40 25 35 49 39 49 51 37 38 34 42	C <sub>11</sub> 25 25 54 24 24 14 15 15 10 10 12 17 15 15 15							
$\overline{C_{12}} \ 28 \ 64 \ 64 \ 56 \ 64 \ 64 \ 58 \ 56 \ 64 \ 41 \ 64 \ 53 \ 39 \ 36 \ 41$	$\overline{C_{12} 54 61 59 55 52 27 30 42 45 63 54 48 47 34 42}$	C <sub>12</sub> 29 27 59 25 31 22 15 18 13 13 15 18 19 21 18							

TABLE V

COMPARISON OF THE MINIMAL NUMBER OF SENSORS SELECTED BY DIFFERENT METHODS FOR DATASET III-A, III-B AND II. THE P300 SPELLER IS IMPLEMENTED USING THE SVM-BASED CLASSIFIER ESVM [7]. SL DENOTES OUR PROPOSED METHOD SLES.

Dataset III-A	Dataset III-B	Dataset II							
Epochs	Epochs	Epochs							
1 2 3 4 5 6 7 8 9 10 11 12 13 14 15	1 2 3 4 5 6 7 8 9 10 11 12 13 14 15	1 2 3 4 5 6 7 8 9 10 11 12 13 14 15							
SL 19 44 54 38 40 42 33 56 58 39 44 27 35 21 36	SL 18 19 34 29 17 31 39 41 22 36 24 33 33 26 27	SL 13 10 36 20 31 16 8 4 3 5 3 5 3 6 3							
<i>C</i> <sub>1</sub> 31 56 58 49 45 57 44 <b>54</b> 64 43 55 51 46 32 56	<i>C</i> <sub>1</sub> 28 27 43 39 32 35 45 50 36 51 36 <b>30</b> 45 37 29	C <sub>1</sub> 30 32 42 38 43 28 15 8 6 9 7 10 6 8 6							
C <sub>2</sub> 32 53 57 48 44 59 47 55 64 43 50 53 47 32 55	C <sub>2</sub> 27 25 42 38 29 31 <b>39</b> 54 36 49 40 <b>30</b> 44 30 <b>27</b>	C <sub>2</sub> 25 28 40 29 40 19 16 9 6 11 <b>3</b> 11 7 <b>6</b> 8							
<i>C</i> <sub>3</sub> 29 53 <b>54</b> 48 47 56 35 55 63 45 52 57 42 36 49	C3         24         31         45         35         26         30         42         49         34         44         38         32         47         26         27	C3         21         23         38         30         34         25         13         8         7         10         6         14         7         7							
<i>C</i> <sub>4</sub> 56 50 53 44 42 55 <b>31 54</b> 60 56 49 51 43 37 57	<i>C</i> <sub>4</sub> 23 26 59 36 27 <b>29</b> 44 52 35 42 37 36 39 37 30	C <sub>4</sub> 26 24 <b>36</b> 33 38 18 14 10 8 12 5 13 4 9 9							
<i>C</i> <sub>5</sub> 31 55 58 50 45 49 43 60 64 46 58 48 47 33 57	C5         35         29         44         40         29         33         48         50         39         49         38         33         43         40         31	C <sub>5</sub> 43 29 37 40 41 36 24 11 9 13 8 12 10 16 11							
<i>C</i> <sub>6</sub> 35 60 61 57 54 56 52 64 64 53 62 59 57 50 62	<i>C</i> <sub>6</sub> 49 58 56 51 44 40 57 61 48 59 46 42 57 51 47	C <sub>6</sub> 50 38 52 50 50 33 26 30 18 19 11 24 12 14 16							
<i>C</i> <sub>7</sub> 43 59 61 55 49 57 52 64 64 50 62 58 55 50 62	C7 41 52 53 48 46 48 53 63 50 54 49 44 52 47 44	C7 47 40 55 48 49 29 29 29 16 21 13 23 11 17 13							
<i>C</i> <sub>8</sub> 47 54 64 57 48 54 55 62 64 59 57 52 56 49 63	C <sub>8</sub> 40         50         53         49         47         50         49         60         47         50         41         44         51         50         46	C <sub>8</sub> 50 37 54 42 46 31 30 29 16 23 13 20 16 16 19							
<i>C</i> <sub>9</sub> 33 55 60 51 48 62 59 63 64 56 53 54 39 46 49	C <sub>9</sub> 42 51 48 47 41 37 52 61 43 49 45 39 46 44 45	C <sub>9</sub> 51 35 49 39 54 39 29 31 19 25 14 19 13 19 17							
<i>C</i> <sub>10</sub> 33 62 62 59 53 57 57 64 64 54 61 60 59 52 55	<i>C</i> <sub>10</sub> 42 54 51 52 38 43 57 61 44 55 50 46 53 50 49	<i>C</i> <sub>10</sub> 49 44 50 40 50 30 31 26 20 21 19 19 19 20 16							
<i>C</i> <sub>11</sub> 29 61 59 54 55 59 56 58 64 46 53 45 41 40 37	<i>C</i> <sub>11</sub> 43 55 49 47 34 33 45 53 40 52 51 39 49 29 46	<i>C</i> <sub>11</sub> 28 30 56 30 36 19 17 16 9 20 16 19 16 17 12							
<i>C</i> <sub>12</sub> 35 64 63 56 59 61 60 59 64 51 58 47 44 46 43	<i>C</i> <sub>12</sub> 52 59 56 61 46 41 51 64 49 64 56 41 60 30 46	<i>C</i> <sub>12</sub> 31 33 61 36 38 23 18 20 11 24 20 21 21 26 14							

the impact of different CNN architectures on extracting P300-related features and on selecting sensors.

# A. Configuration of $N_s$ in SLES

In order to configure the input parameter  $N_s$  in SLES, we use the preliminary dataset of Dataset III-A to perform experiments and tune  $N_s$ . The P300 speller implemention used for this experiment is the CNN-based classifier OCLNN [16]. We use 60% of this preliminary dataset to train  $SCNN_{(S)}$ (see Section IV-B) while running SLES with different  $N_s$ configurations, i.e.,  $N_s=1$ , 2, 4, 8, 16, 32 and 64. With each  $N_s$  configuration, SLES selects a set of sensor subsets for Dataset III-A. We use the left 40% of this preliminary dataset to evaluate the spelling accuracy of aforementioned P300 speller implementation with the selected sensor subsets. The P300 spelling accuracy is calculated using Equation (3). Then, we calculate the minimal number of sensors  $m_{min}$  for the different  $N_s$  configurations as described in Section V-A.

TABLE VI MINIMAL NUMBER OF SENSORS SELECTED BY SLES WITH DIFFERENT  $N_{\rm s}$  CONFIGURATIONS.

	Epochs														
	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
$N_s=1$	27	56	58	57	50	60	44	53	63	30	55	33	24	19	46
$N_s=2$	18	45	52	34	43	46	28	48	58	26	47	30	20	16	36
$N_s=4$	15	46	49	28	40	36	18	48	59	21	46	27	19	13	38
$N_s=8$	19	54	49	31	41	48	26	48	57	25	46	34	20	13	37
$N_s=16$	22	54	55	42	45	56	39	53	63	29	53	35	25	20	37
$N_s=32$	25	59	57	47	49	58	48	54	63	32	54	37	28	23	41
$N_s=64$	27	60	60	48	50	60	55	55	64	36	59	42	31	24	44

The experimental results are shown in Table VI. The first

column in the table lists the different configurations of  $N_s$  in SLES. Each row provides the minimal number of sensors selected by SLES for different epoch numbers  $k \in [1, 15]$ . A number in bold indicates the minimal number of sensors selected by SLES with the corresponding  $N_s$  configuration is the lowest compared with the minimal number of sensors selected by SLES with other  $N_s$  configurations. From Table VI, we can see that, in most cases, the minimal number of sensors selected by SLES with  $N_s$ =4 is the lowest compared to the minimal number of sensors selected by SLES with  $N_s$ =4 when using SLES.

# B. Exploring the Impact of CNN Architecture on Extracting P300-related Features

We perform experiments to explore the impact of different CNN architectures on extracting useful P300-related features. We implement three P300 spellers. The first P300 speller implementation uses our  $SCNN_{(S)}$  (see Section IV-B.2) as the classifier. The second P300 speller implementation uses CCNN [12] as the classifier. The third P300 speller implementation uses BN3 [13] as the classifier. Then, we evaluate the spelling accuracy of the aforementioned P300 spellers using Dataset III-A with all the 64 sensors.

The experimental results are shown in Figure 4. From this figure, we can see that  $SCNN_{(S)}$  and BN3 achieve similar spelling accuracy across different epoch numbers  $k \in [1, 15]$ . CCNN achieves lower spelling accuracy on smaller epoch numbers and it achieves similar spelling accuracy with  $SCNN_{(S)}$  and BN3 on larger epoch numbers. We can

conclude from this experiment that our  $SCNN_{(S)}$  extracts similar useful P300-related features as BN3 and CCNN.



Fig. 4. Spelling accuracy of SCNN, CCNN and BN3.

C. Exploring the Impact of CNN Architecture on Sensor Selection

We perform experiments to explore the impact of different CNN architectures on the sensor selection process. We introduced this issue in Section II. The P300 speller implemention used for this experiment is the CNN-based classifier OCLNN. We use the preliminary dataset of Dataset III-A to train our  $SCNN_{(S)}$  (see Section IV-B), CCNN [12] and BN3 [13]. We select sensor subsets by directly analysing the weights of the spatial convolution layer of our  $SCNN_{(S)}$ , CCNN and BN3. We use the evaluation dataset of Dataset III-A to evaluate the P300 spelling accuracy of the aforementioned P300 speller implementation with the selected sensor subsets. Then, we calculate the minimal number of sensors  $m_{min}$  selected by analysing the weights of our  $SCNN_{(S)}$ , CCNN and BN3. For the detailed calculation of  $m_{min}$  see Section V-A.

#### TABLE VII

MINIMAL NUMBER OF SENSORS SELECTED BY ANALYSING DIFFERENT CNNs.

	Epochs														
CNN	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
SCNN(S)	23	60	55	49	51	60	53	55	64	31	53	46	27	32	39
CCNN	25	64	56	55	58	64	56	56	64	34	54	48	27	36	39
BN3	28	64	64	56	64	64	58	56	64	41	64	53	39	36	41

The experimental results are shown in Table VII. The first column in the table lists the different CNNs. Each row provides the minimal number of sensors selected by analysing the weights of different CNNs for different epoch numbers  $k \in [1, 15]$ . A number in bold indicates that the minimal number of sensors selected by analysing the weights of the corresponding CNN is the lowest, compared to the minimal number of sensors selected by analysing the weights of other CNNs. Table VII shows that the minimal number of sensors selected by analysing the weights of our  $SCNN_{(S)}$ is the lowest, compared to the minimal number of sensors selected by analysing the weights of CCNN and BN3. The reason is the following. CCNN and BN3 have multiple convolution layers and only the weights of the first layer is used for analysis and sensor selection. Unfortunately, the information needed for proper sensor selection is distributed over the weights of all convolution layers. Therefore, CCNN and BN3 do not use all the information available for proper sensor selection. In contrast, our  $SCNN_{(S)}$  has only one convolution layer and this layer performs the spatial convolution operation. All the information needed for sensor selection is captured by the weights of this single spatial convolution layer. Moreover, our  $SCNN_{(S)}$  achieves similar spelling accuracy in comparison to CCNN and BN3 (see Section VI-B), meaning that our CNN has similar ability to extract useful

P300-related features. We analyse the weights of the single spatial convolution layer in our  $SCNN_{(S)}$  to select sensors. Thus, our  $SCNN_{(S)}$  uses all the information available for proper sensor selection compared to CCNN and BN3. As a result,  $SCNN_{(S)}$  can select more appropriate sensor subsets and further reduce the minimal number of sensors needed to acquire brain signals without losing spelling accuracy.

#### VII. CONCLUSIONS

In this paper, we propose a novel sensor selection method, called SLES, for reducing the number of sensors needed to acquire EEG signals for a P300 speller without losing spelling accuracy. SLES uses an iterative parametric backward elimination algorithm to eliminate and select sensors and it uses our novel  $SCNN_{(S)}$  as a ranking function to evaluate the importance of a sensor. Our SLES is also robust across different P300 speller implementations and different subjects. Experimental results show that the minimal number of sensors selected by our SLES method is lower than the minimal number of sensors selected by other methods in most cases. Therefore, our SLES can further reduce the cost and power consumption of the P300 speller, thereby promoting P300 spellers into people's daily life.

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