



Automata with  
**Nested Pebbles**  
and  
FO Logic with  
**Transitive Closure**

9.6'06 Bonn

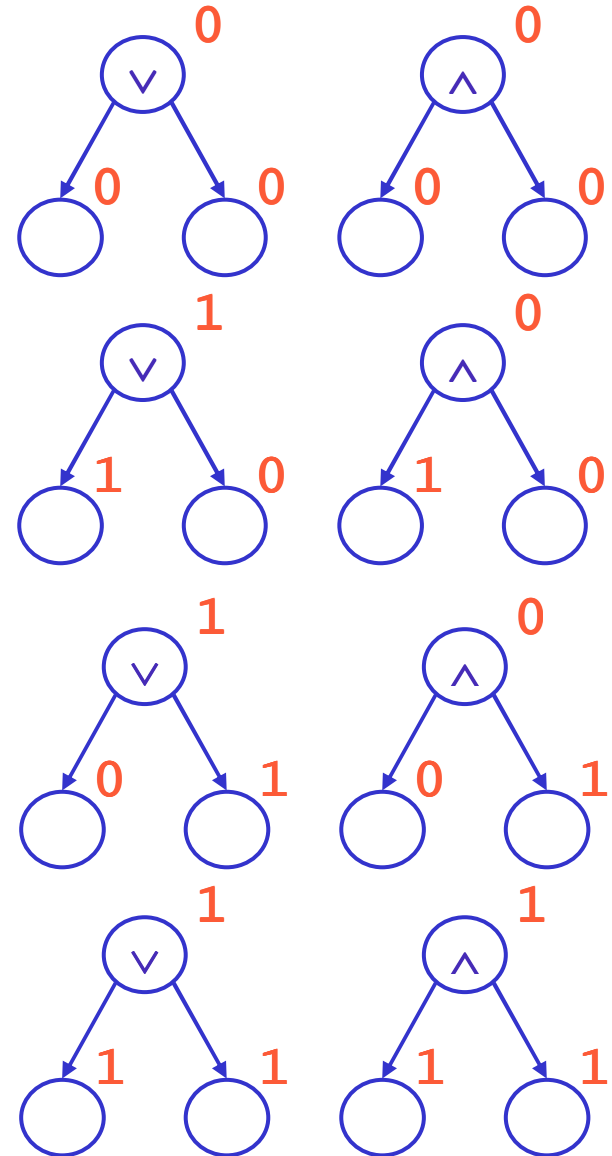
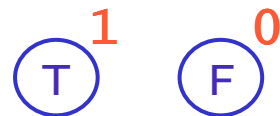
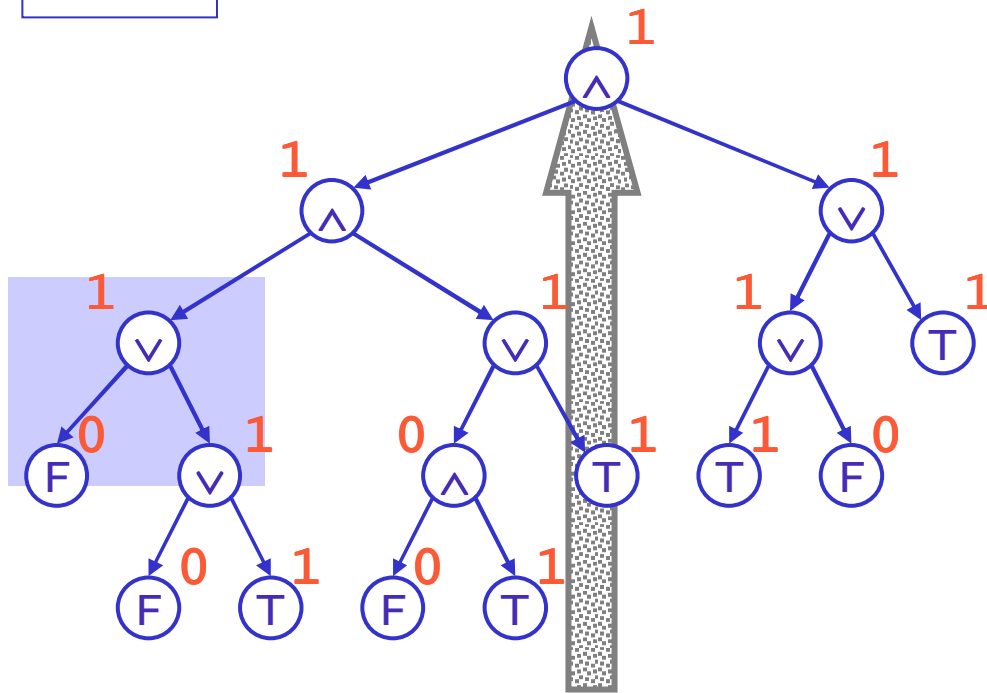


**Joost Engelfriet**  
**Hendrik Jan Hoogeboom**  
Leiden NL

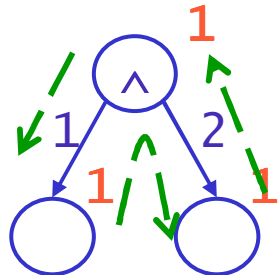
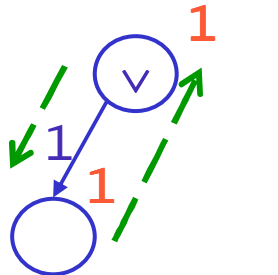
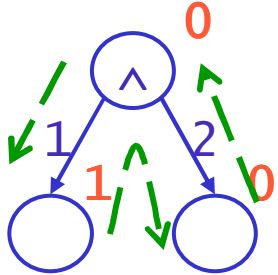
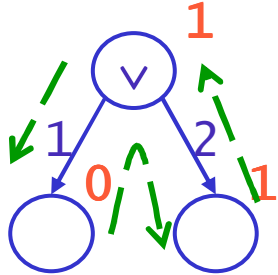
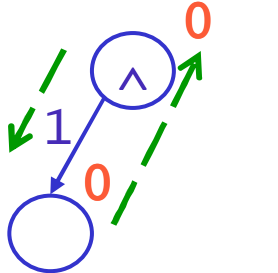
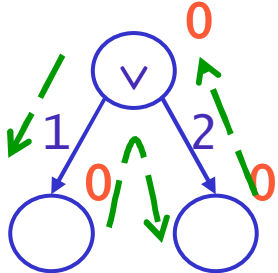
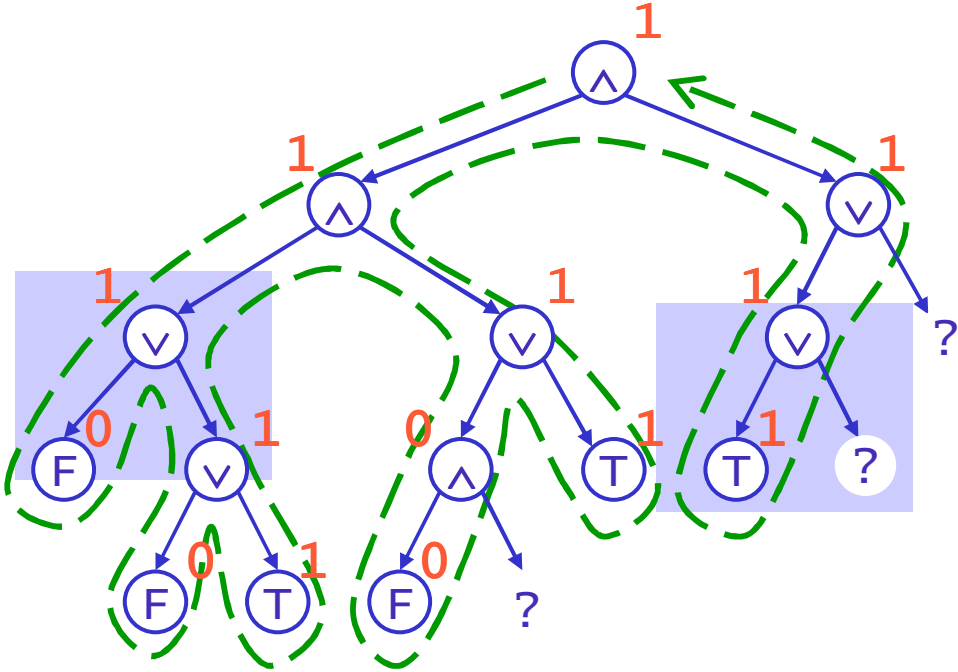


# bottom-up tree automata

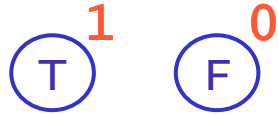
REG



walking along the tree



evaluates and/or trees !

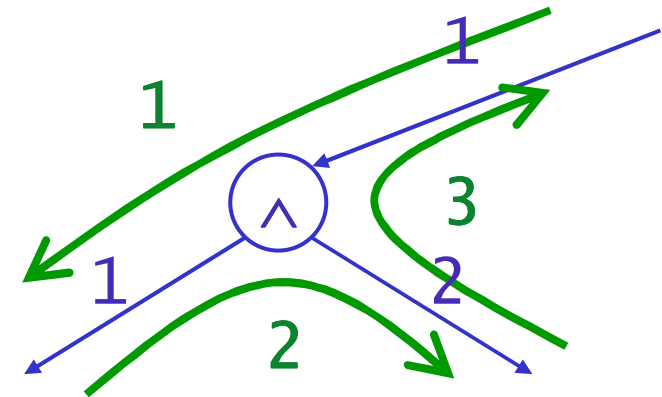
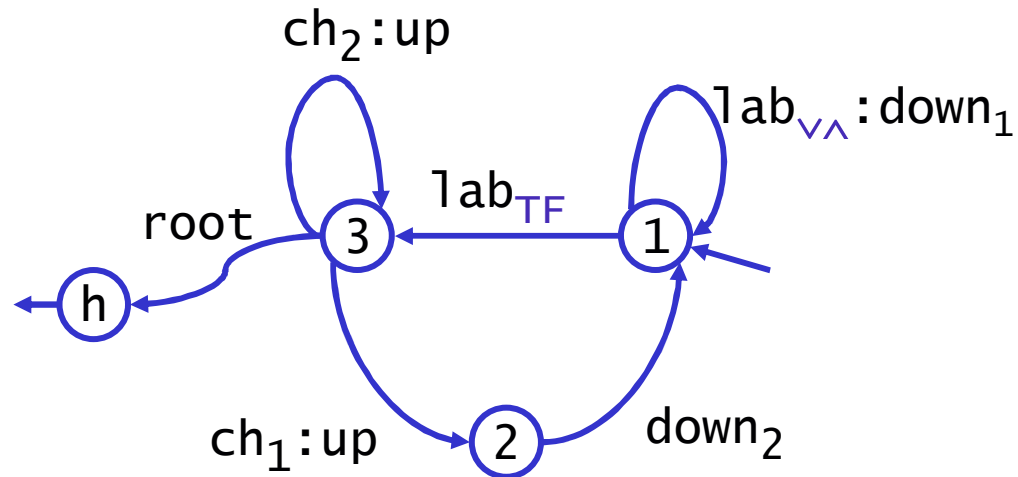


cf. two-way finite state automaton

# tree walking automaton

example: tree traversal

TW



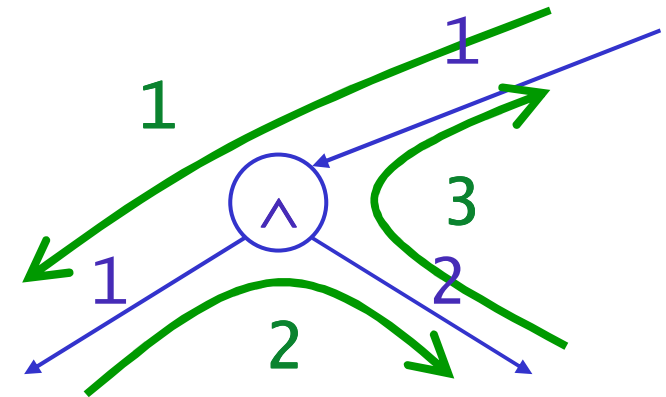
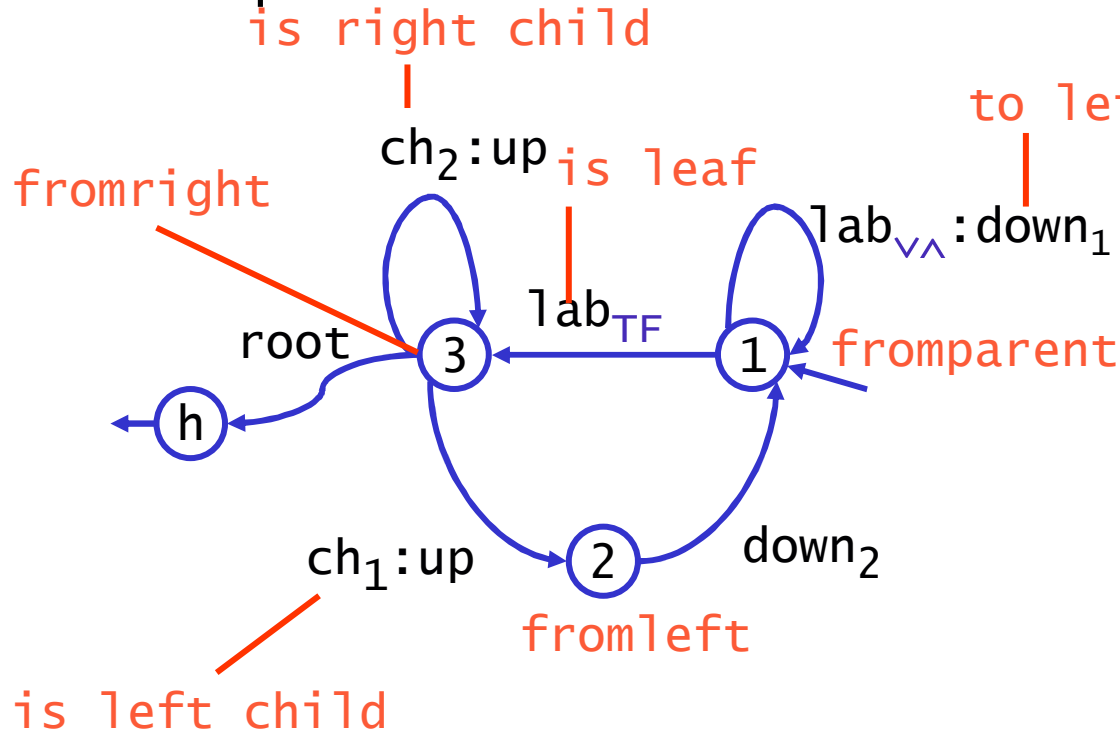
walk along edges, moves based on

- state
- node label
- child number  
(= incoming edge)

# tree-walking automaton

example: tree traversal

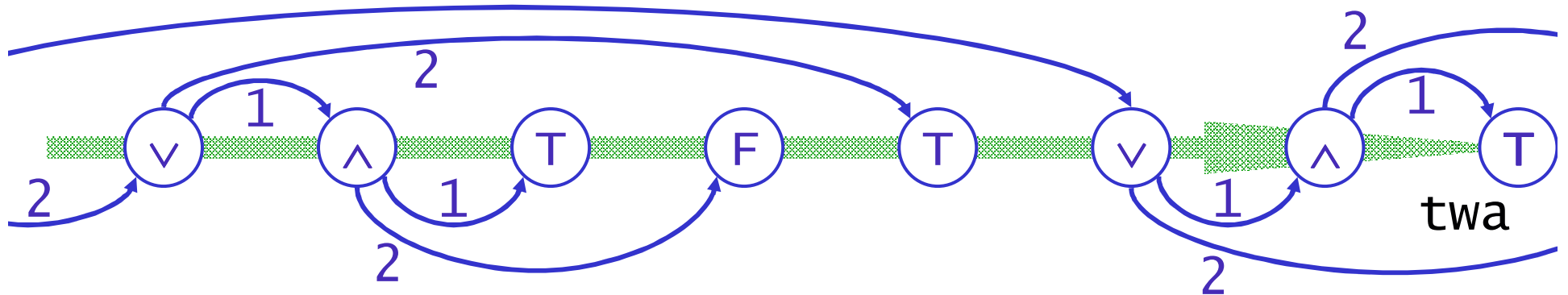
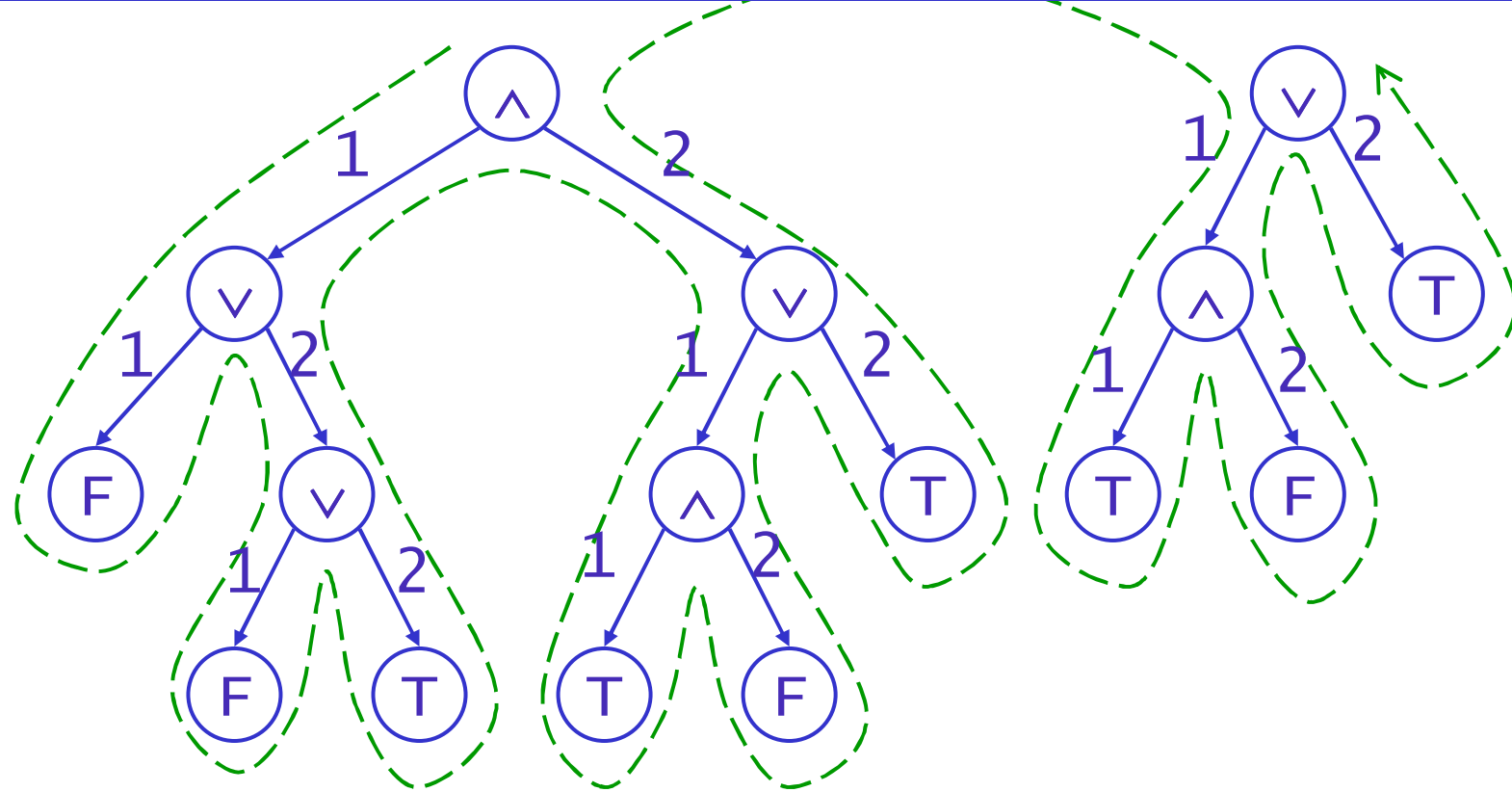
TW



walk along edges, moves based on

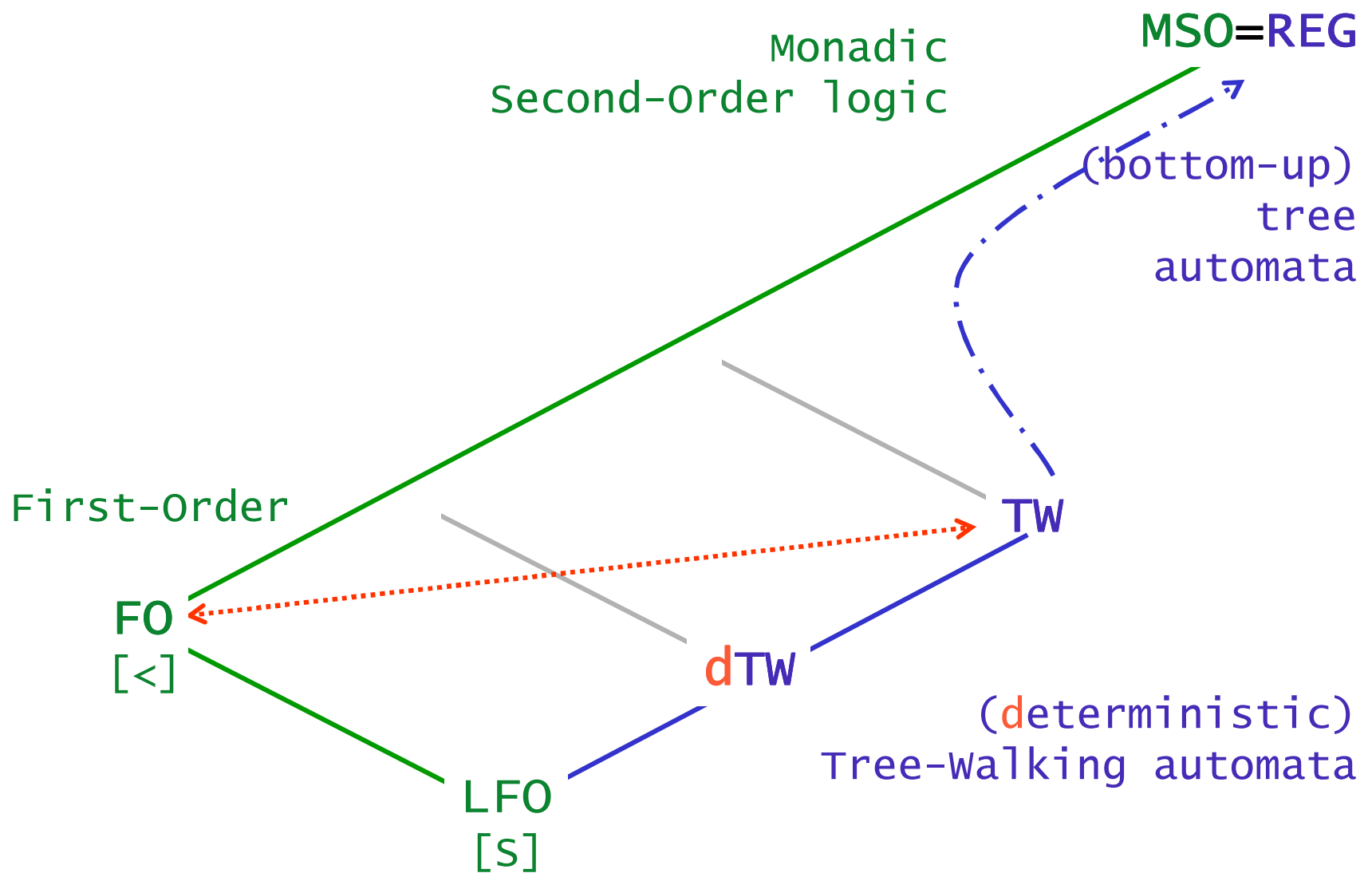
- state
- node label
- child number  
(= incoming edge)

# tool: systematic tree-traversal



# tree-walking automata

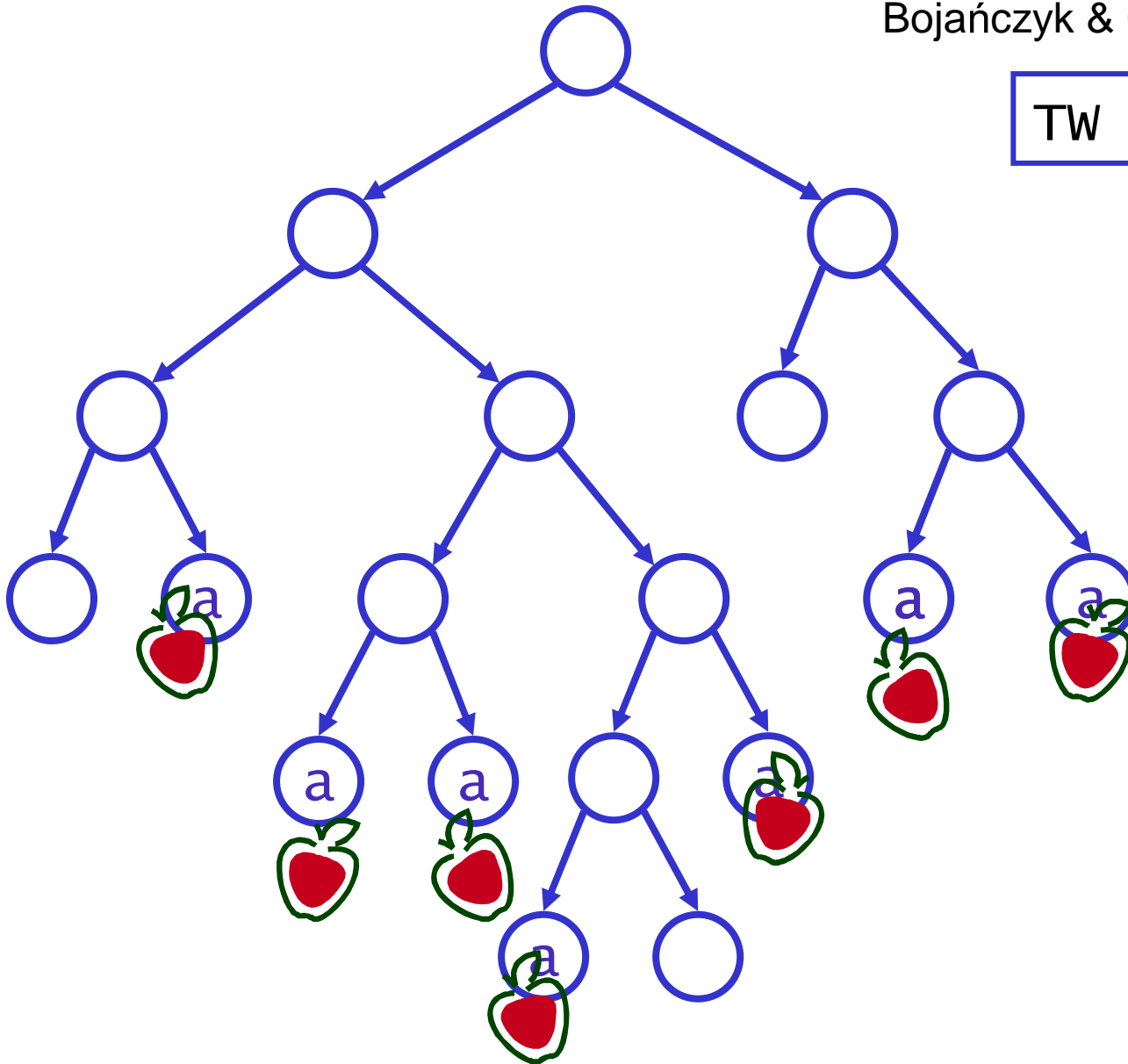
Doner; Thatcher & Wright



# 'branching structure' of even length

Bojańczyk & Colcombet

TW  $\subset$  REG

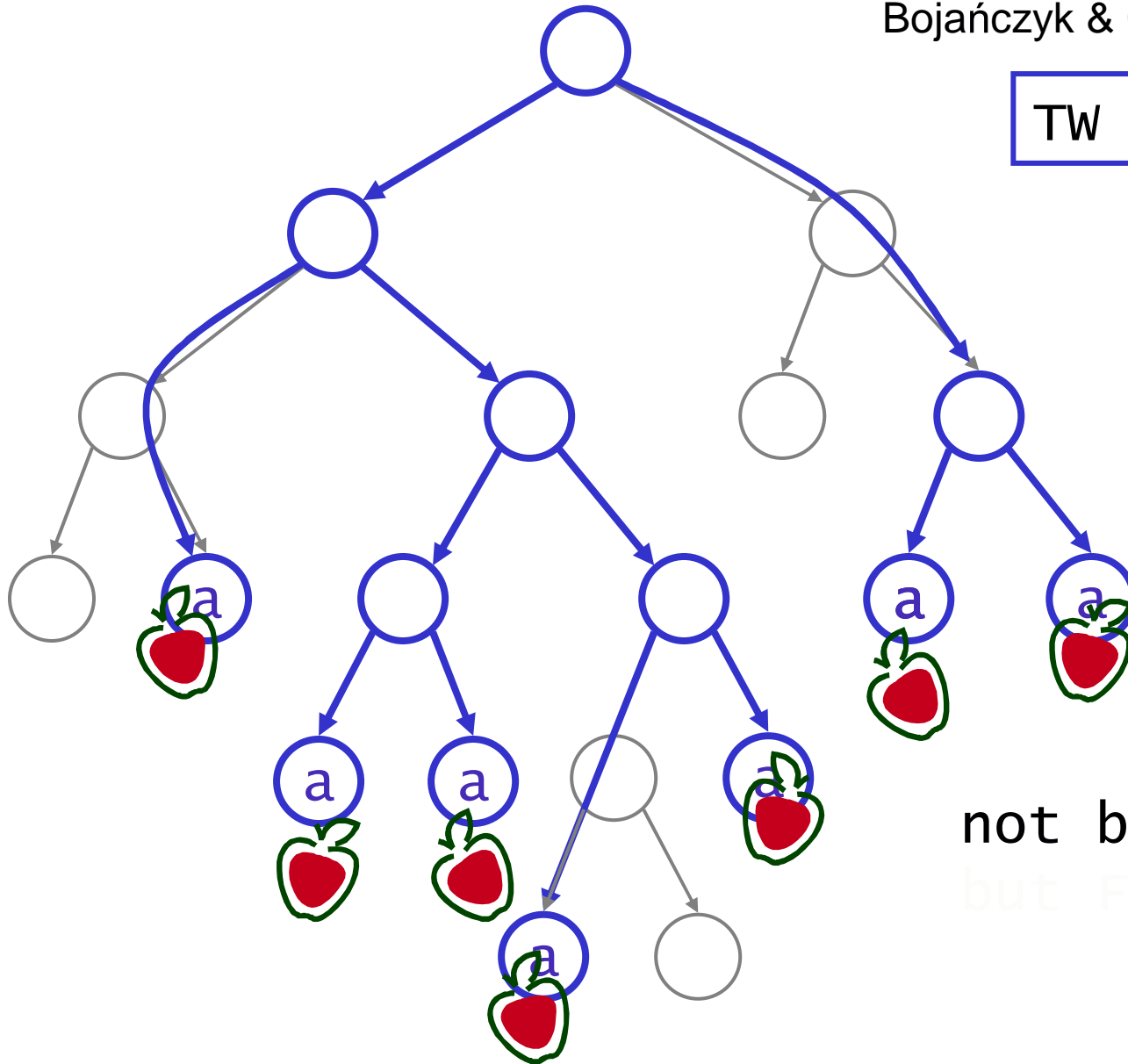




# 'branching structure' of even length

Bojańczyk & Colcombet

TW  $\subset$  REG

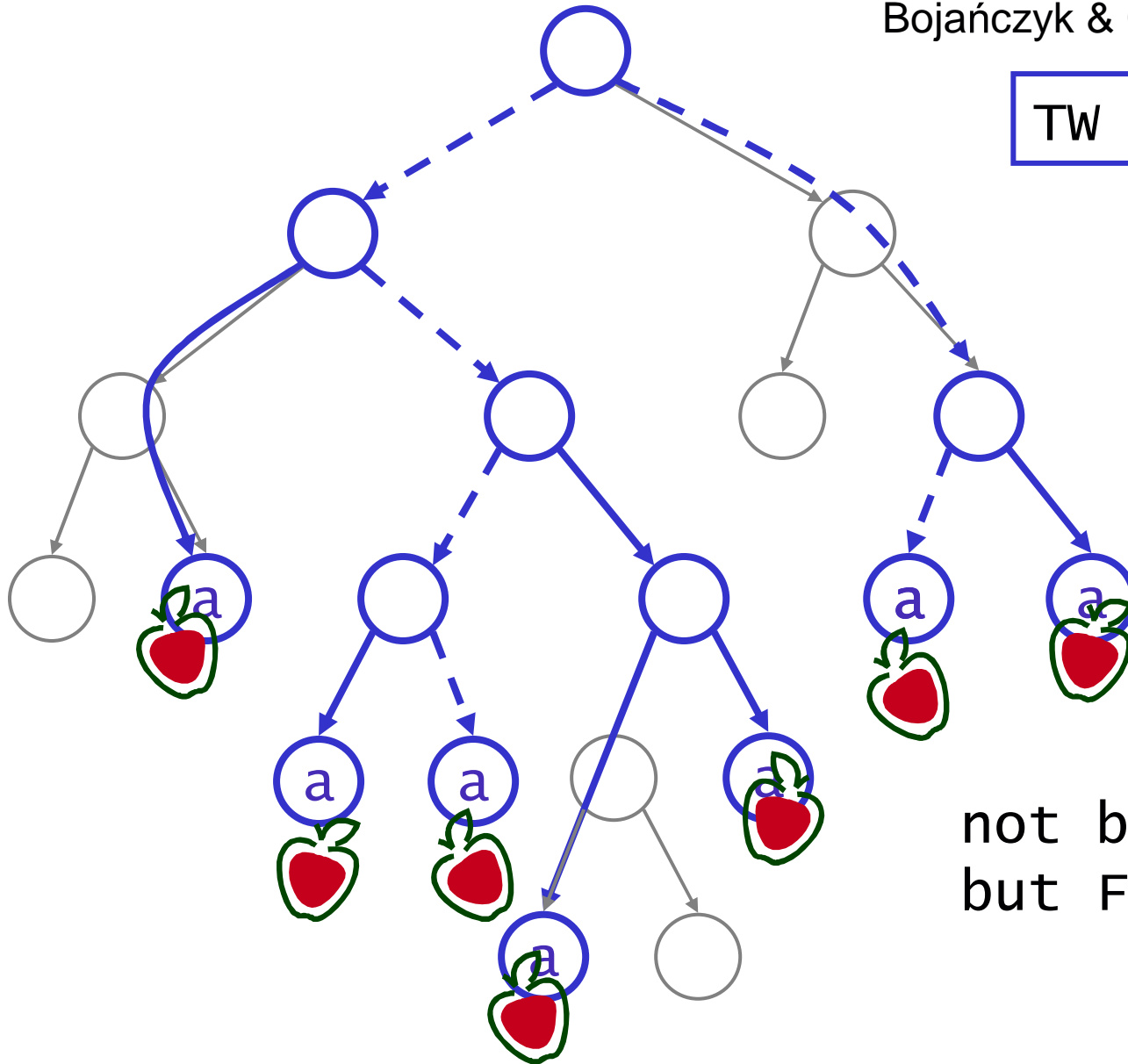


not by TWA  
but FO (!)

# 'branching structure' of even length

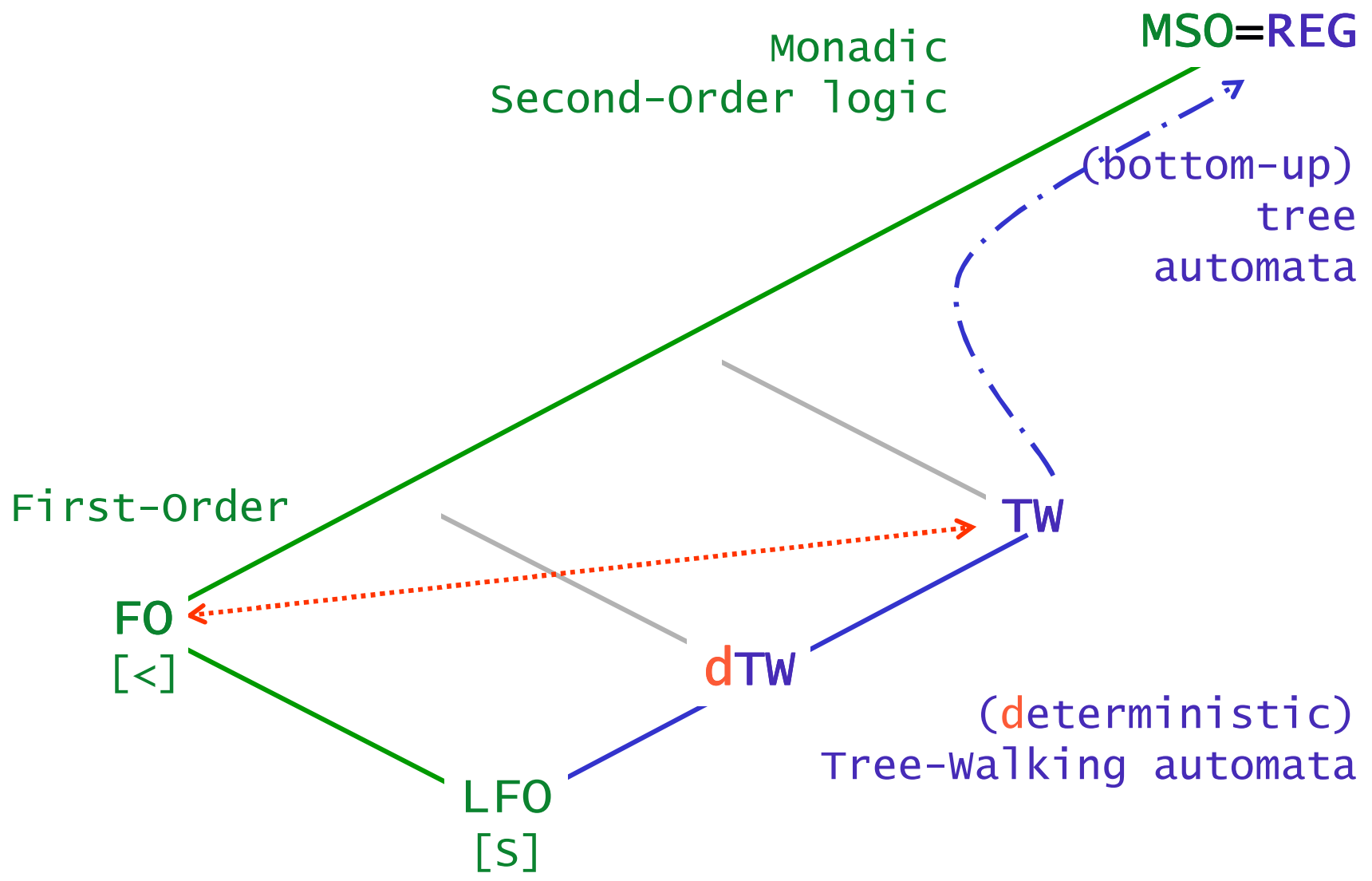
Bojańczyk & Colcombet

TW  $\subset$  REG

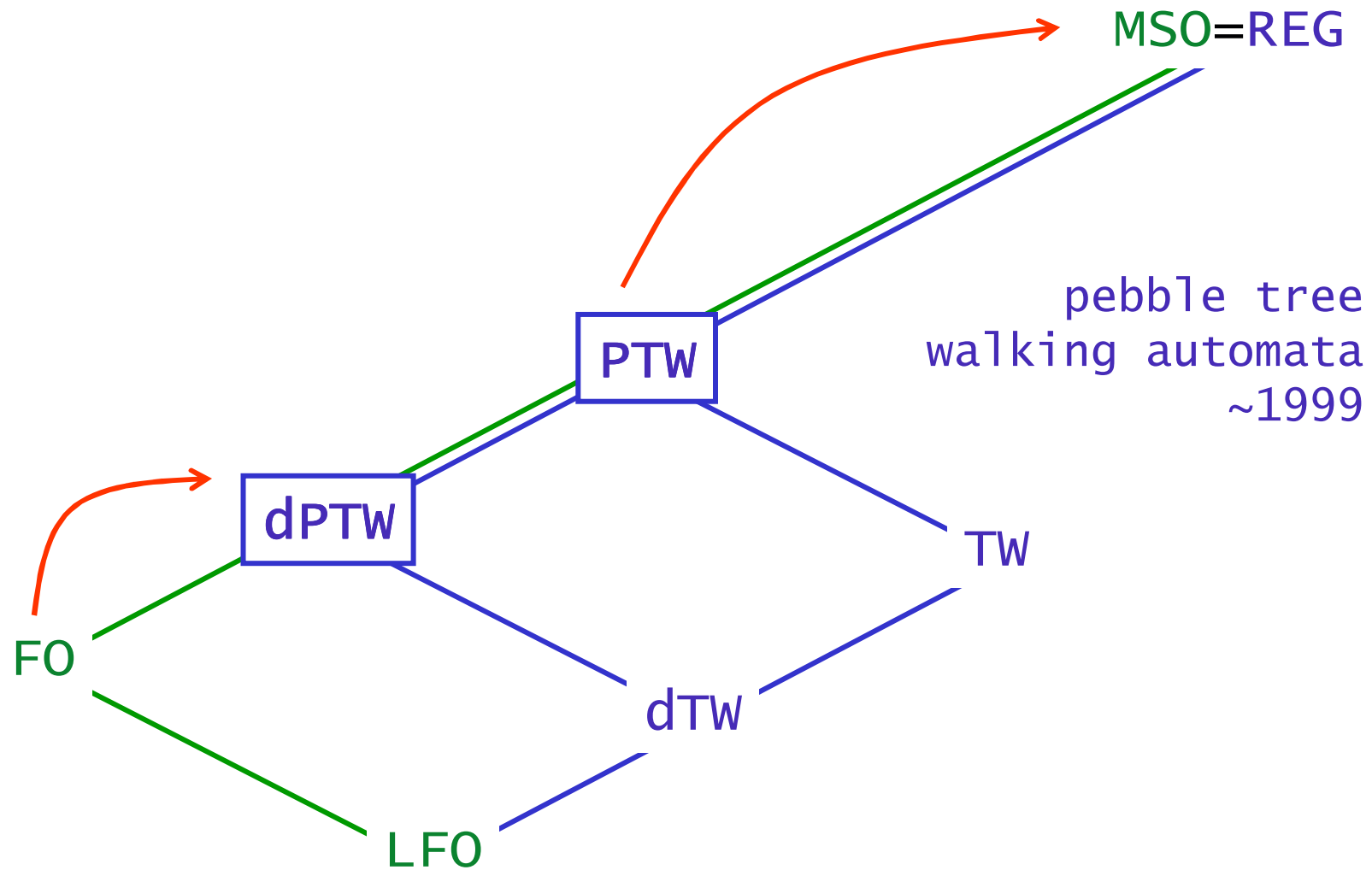


# tree-walking automata

Doner; Thatcher & Wright

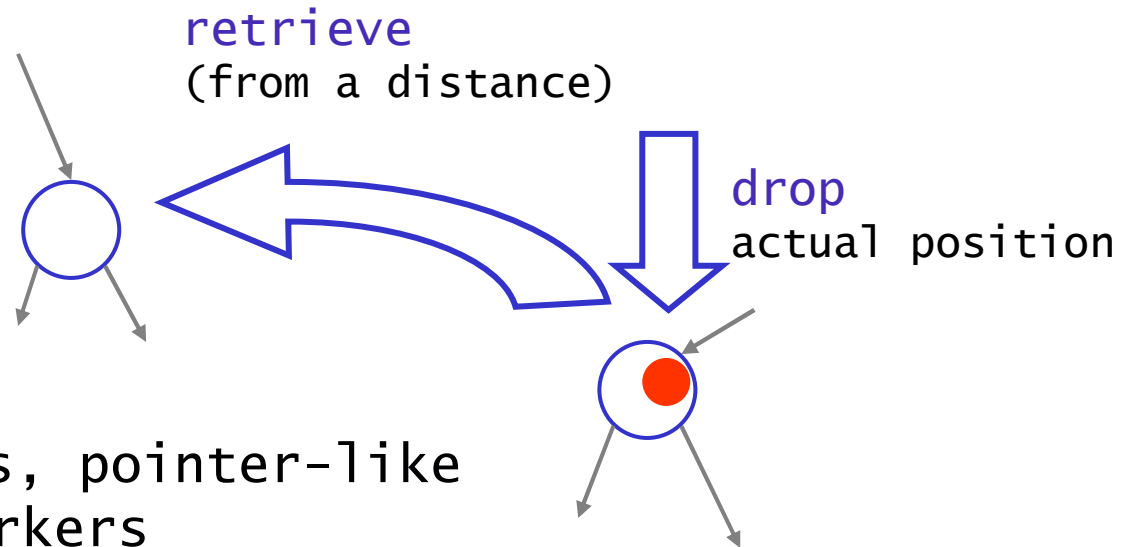


# tree-walking automata



# adding nested pebbles

pebble: marks a node



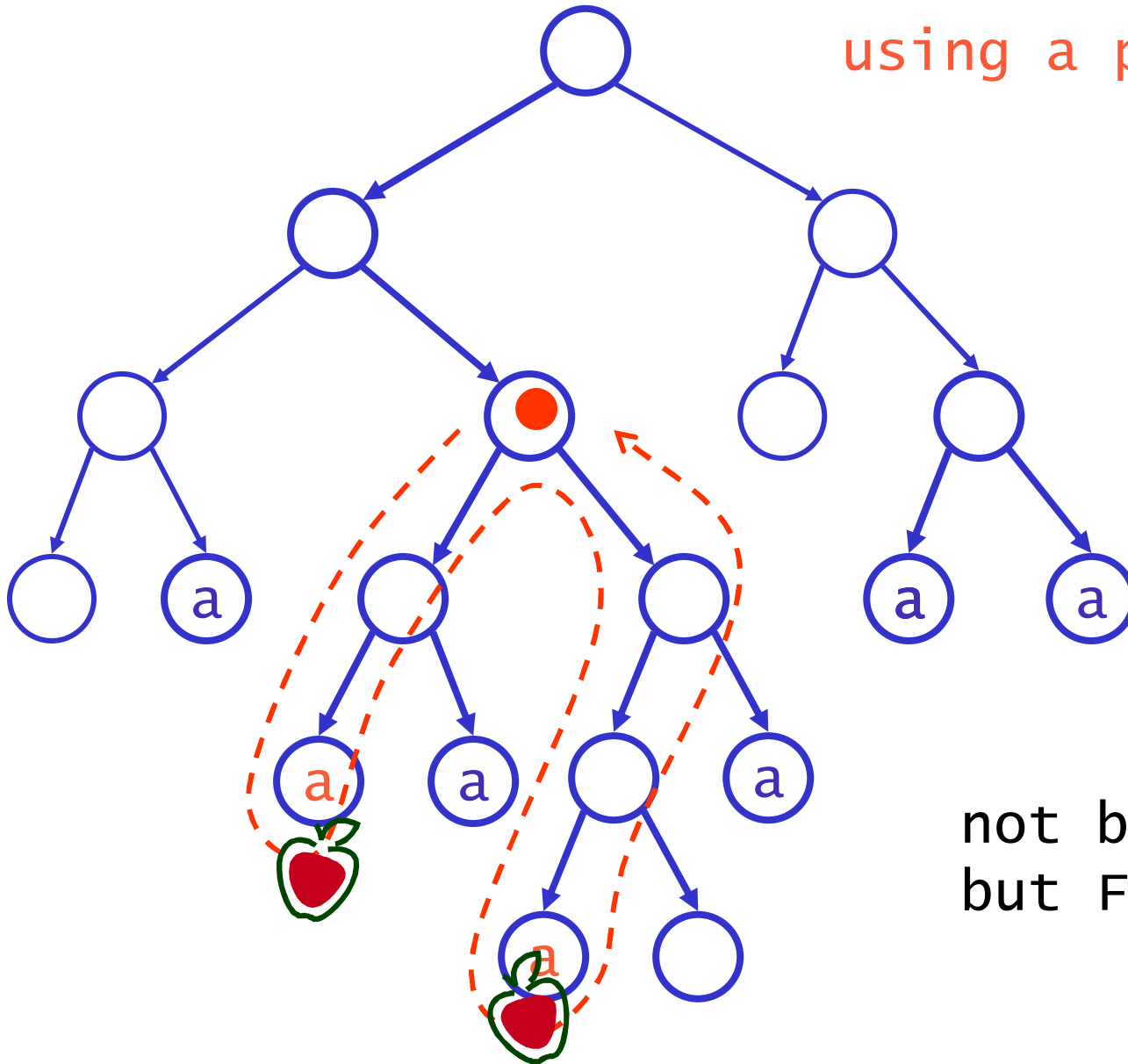
- **strong** pebbles, pointer-like ‘abstract’ markers rather than ‘physical’
- *nested lifetimes* LIFO
- fixed number for automaton
- can be distinguished

‘regular’ extension  
(for single head on trees)

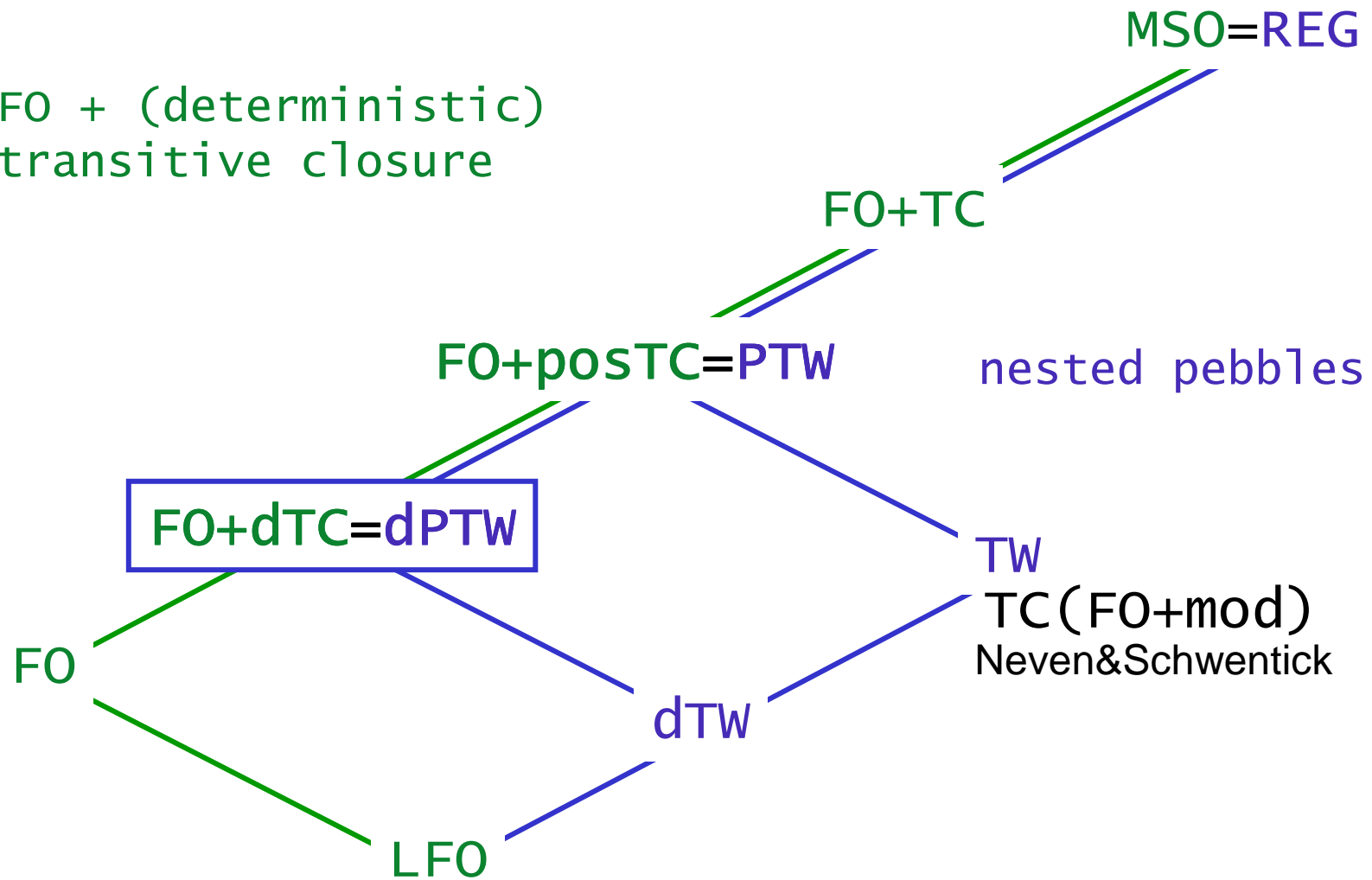


'branching structure' of even length

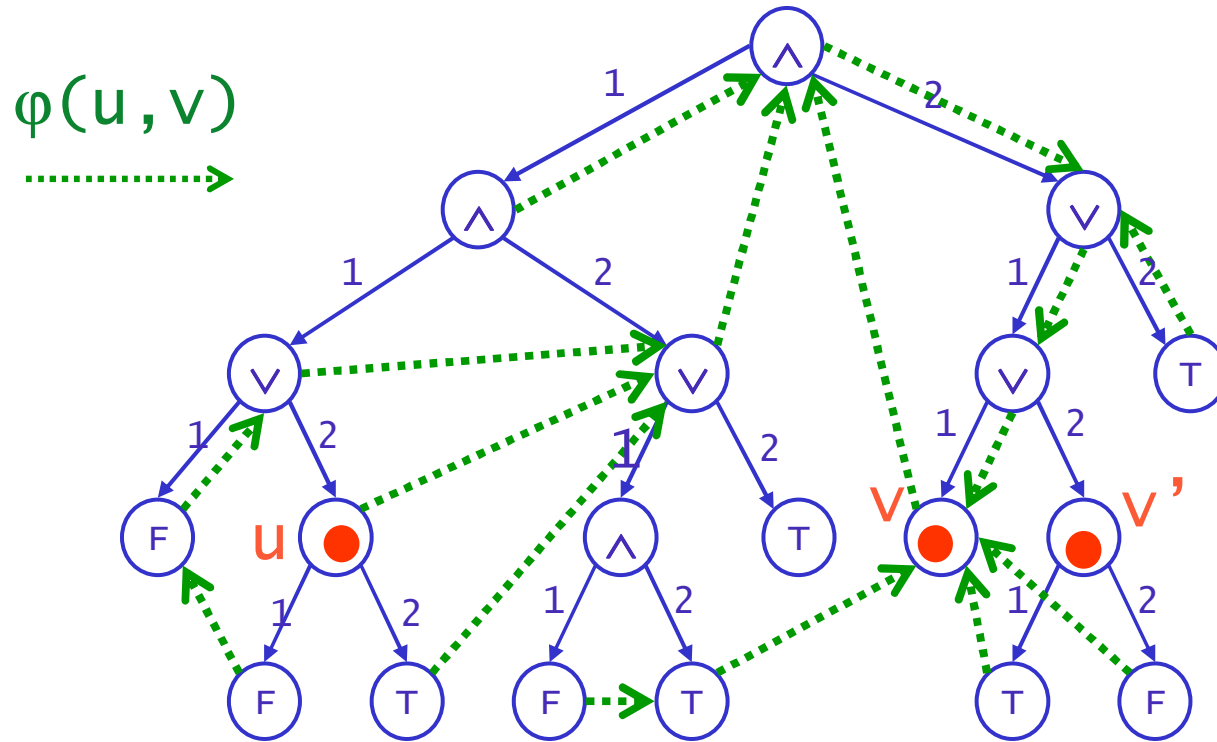
using a pebble



FO + (deterministic)  
transitive closure



# transitive closure



$\varphi^*(u, v)$  unary tc  
deterministic tc:  $\varphi$  functional  
 $\varphi(u, v, z)$



- ❖ XML document transformation  
single head on (unranked) trees
- ❖ transitive closure vs. automata  
descriptive complexity  
strings, trees, n-dim grids, ...
- ❖ graph exploration  
many heads on graphs 'robots'  
grids, toruses, mazes, ...

# classic result for strings

[non]deterministic logarithmic space

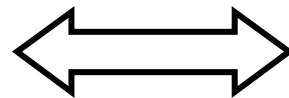
Immerman

First-Order Logic  
+ transitive closure

Multi-Head Automata  
(two-way)

$\varphi^*(\underline{x}, \underline{y})$

arity  $k$



$k$  heads

Bargury&Makowsky

fits in our framework

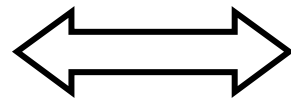
on strings, trees, grids, toruses, mazes, ...

First-Order Logic  
+ transitive closure

Multi-Head Automata  
+ 'nested pebbles'

$\varphi^*(\underline{x}, \underline{y})$

arity  $k$



$k$  heads

we (WTA) mostly like...

on  trees,

single head

First-Order Logic  
+ transitive closure

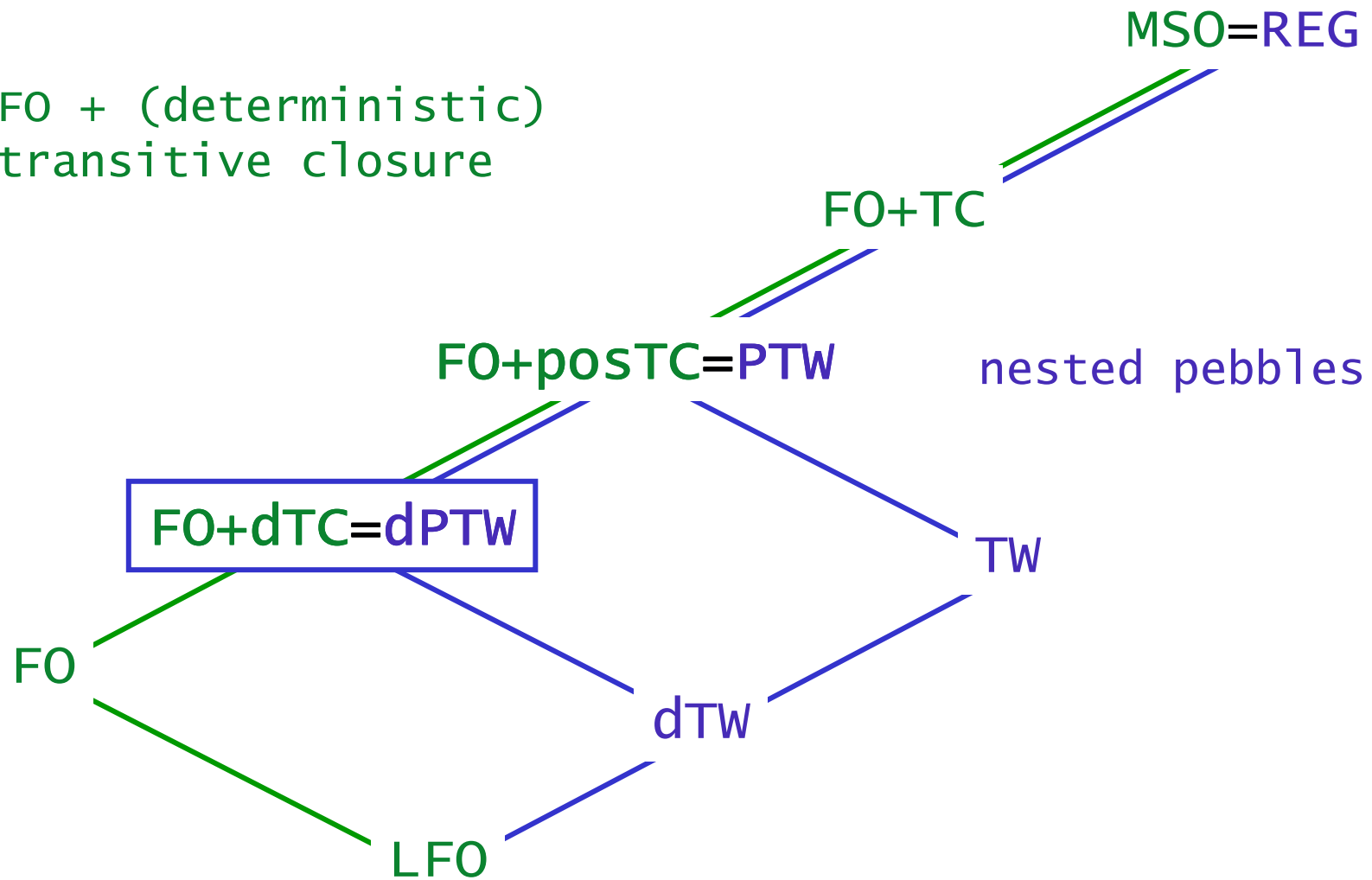
MULTI-head Automata  
+ 'nested pebbles'

unary  $\varphi^*(x, y)$

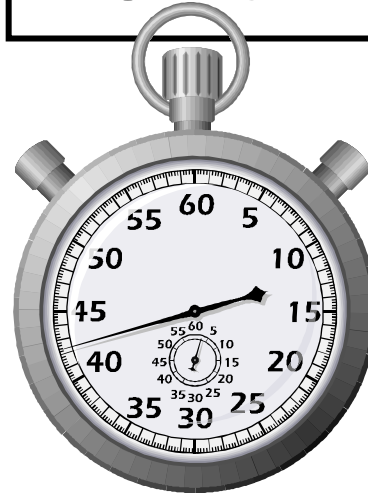
( but this is not a talk on trees only )

# single head on trees

FO + (deterministic)  
transitive closure



$$FO + dTC = dPTW$$



proof summary  
manager style

( deterministic,  
single head,  
unary tc,  
on trees )

FO+dTC  $\subseteq$  dPTW

# (1) logic to nested pebbles

$\text{Tab}_a(x)$   
 $\text{edg}_i(x, y)$

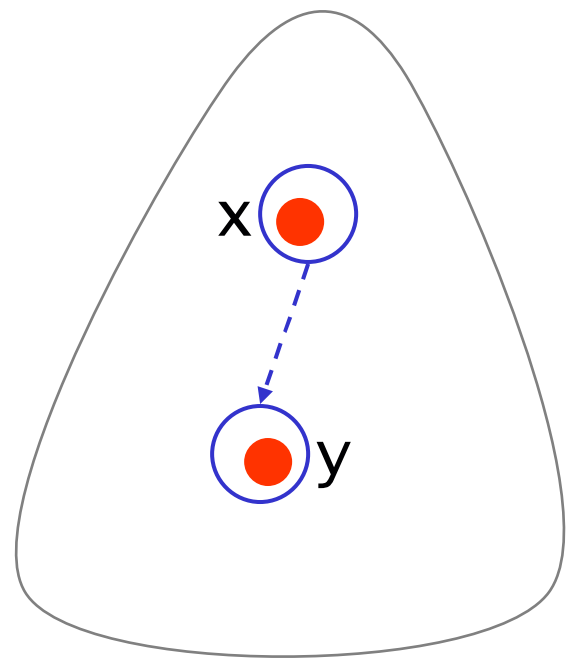
$x \leq y$   
 $x = y$

$\neg \wedge \vee$   
 $\forall x \exists x$

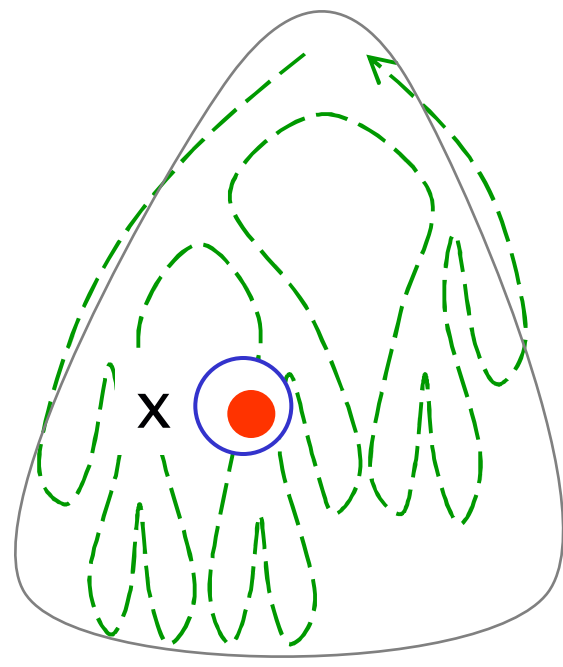
$\varphi^*(x, y)$

$\varphi \rightarrow \mathcal{A}$

always halting  
free variables  $\sim$   
fixed pebbles



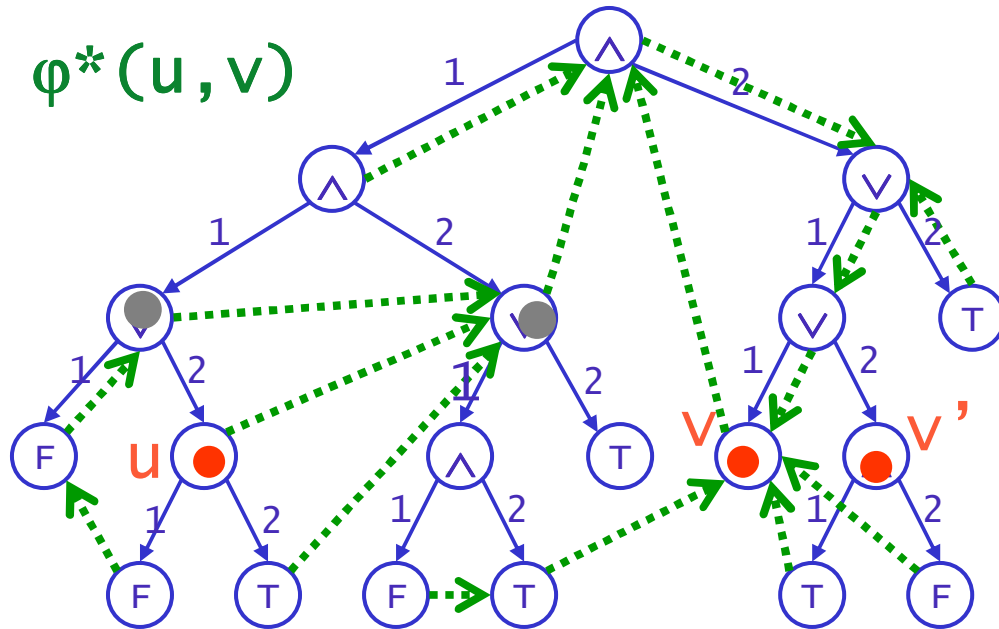
$x \leq y$



$\forall x \varphi(x) \quad \mathcal{A}_\varphi$

FO+dTC  $\subseteq$  dPTW

(1<sub>ctd</sub>) transitive closure



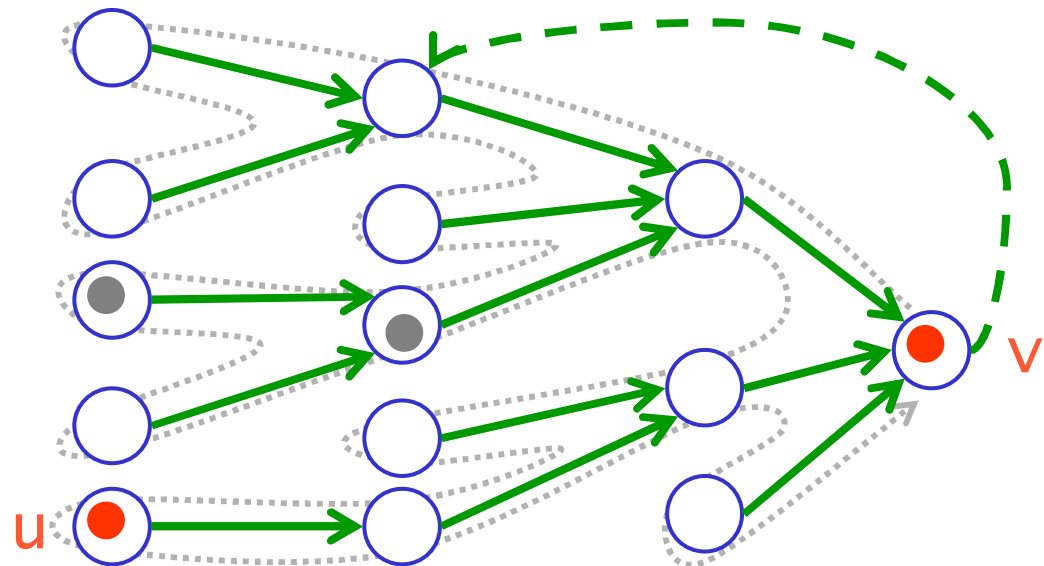
functional!



but implicit

$\mathcal{A}_\varphi$

tree walking  
implicit  $\varphi$ -tree  
reconstruct locally  
backwards! sipser





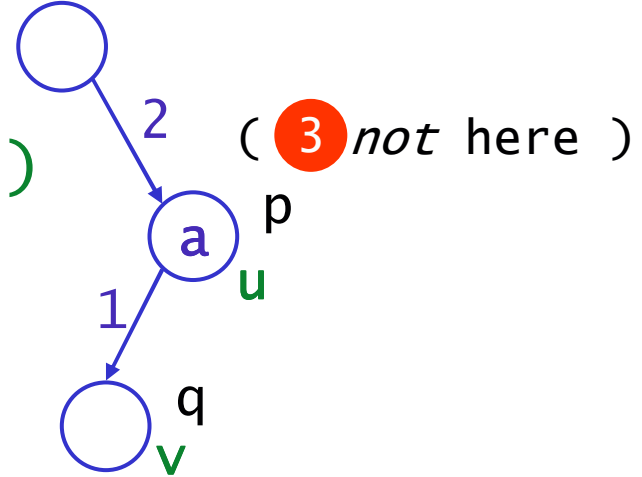
dPTW  $\subseteq$  FO+dTC

## (2) nested pebbles to logic

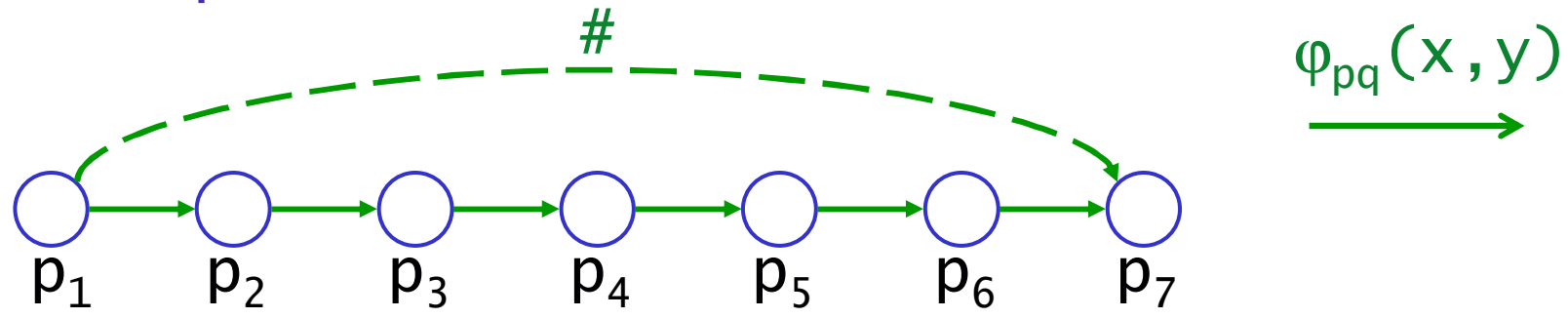
i single move  $\varphi_{pq}(u, v)$

$$\begin{aligned} & \neg ab_a(u) \wedge (\exists u') \text{edg}_2(u', u) \\ & \wedge u \neq x_3 \wedge \text{edg}_1(u, v) \end{aligned}$$

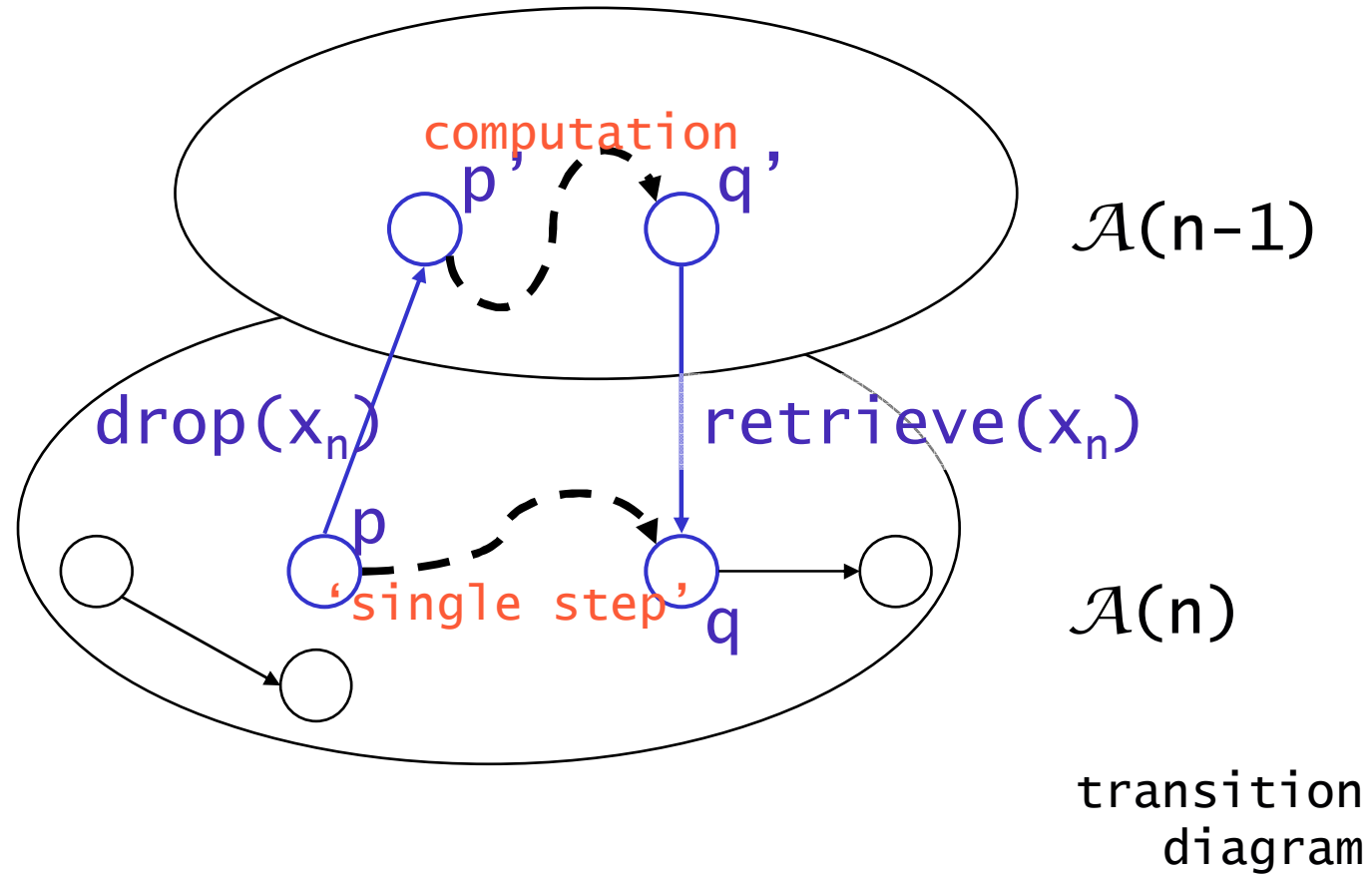
free variables for pebbles



ii computation  $\sim$  tc with states



Kleene: removing states finite aut to reg expr

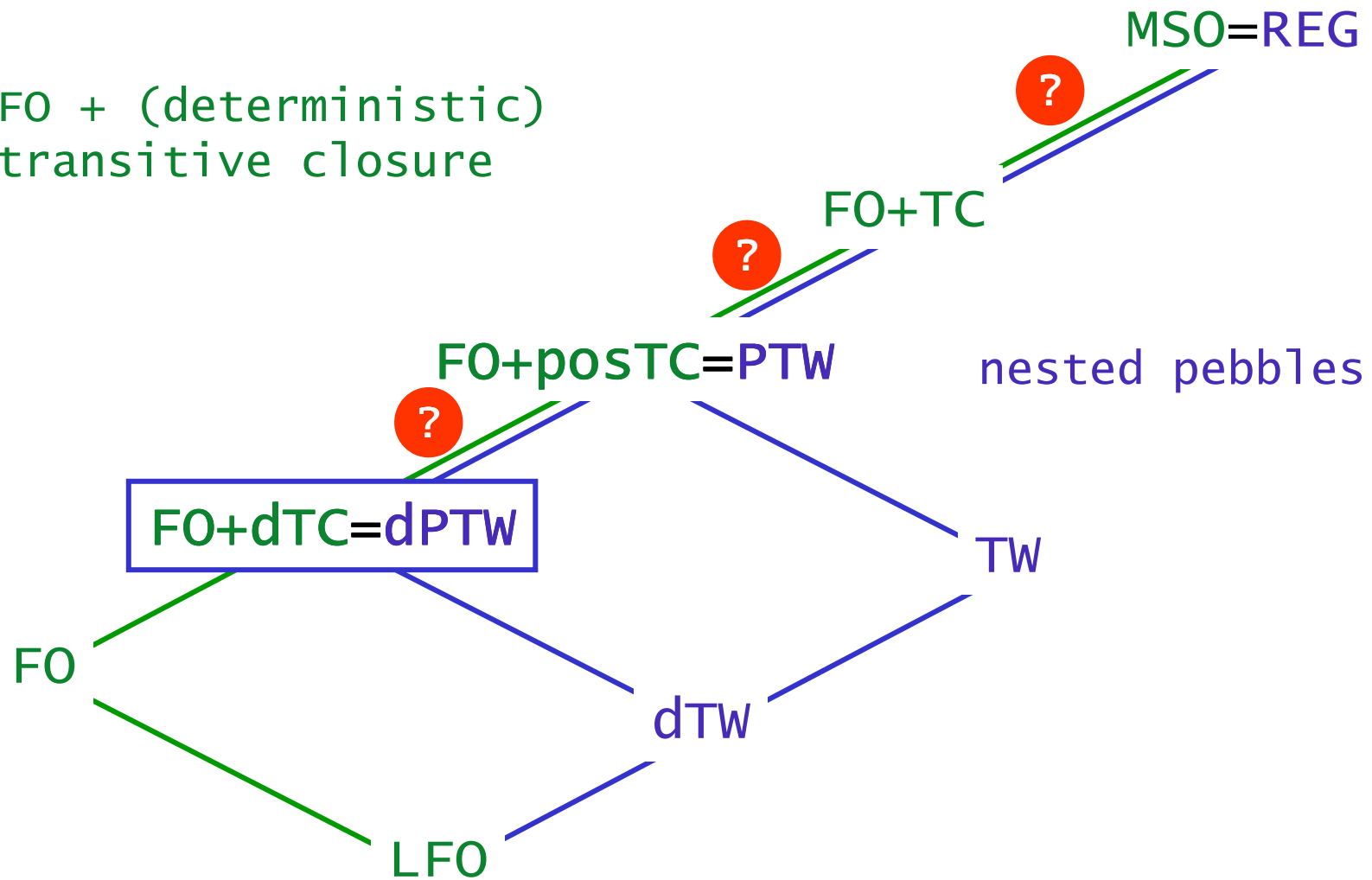


$$\varphi_{pq}^n(u, v) = \varphi_{p'q'}^{(n-1)\#}(u, v)$$

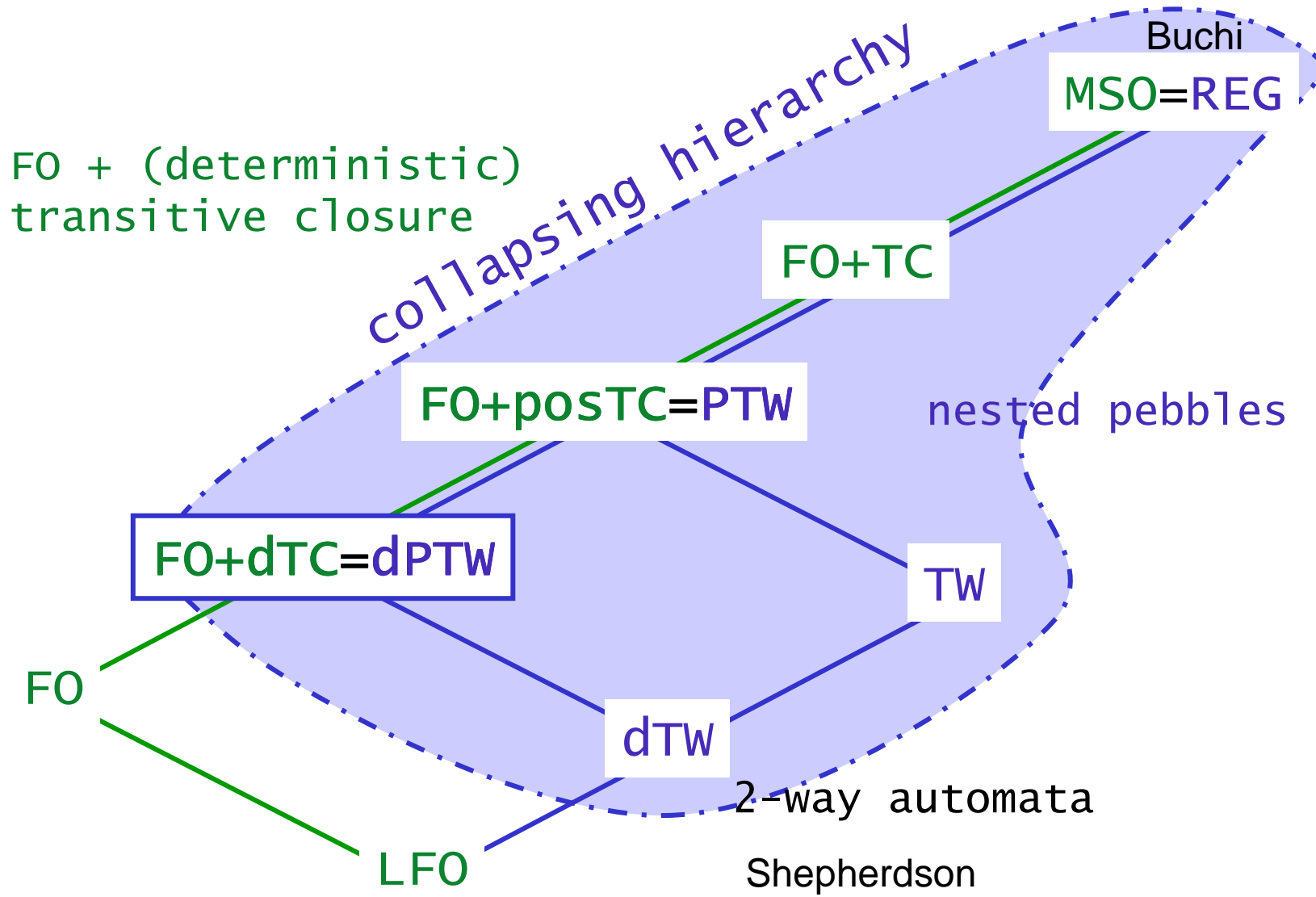
replacing  $x_n$  by  $u$

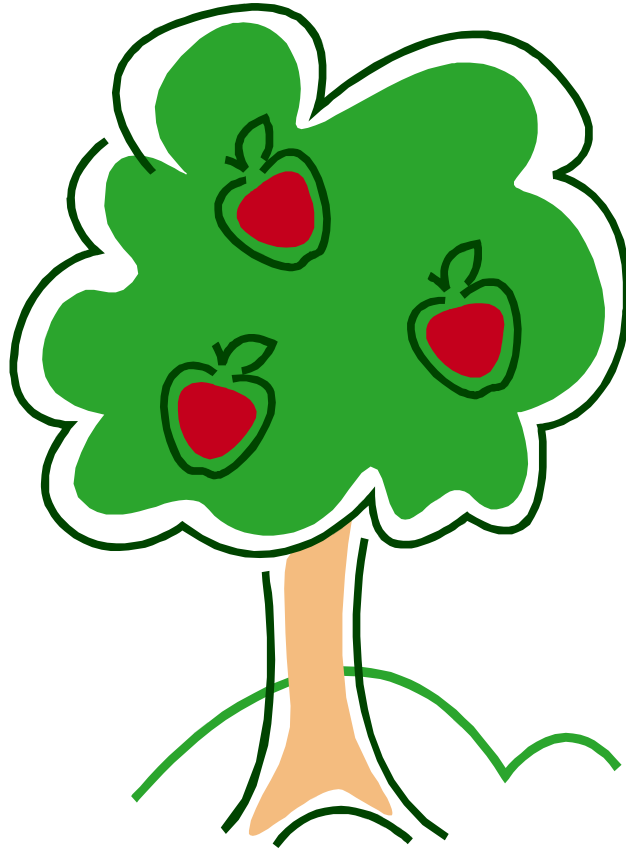
# single head on trees

FO + (deterministic)  
transitive closure



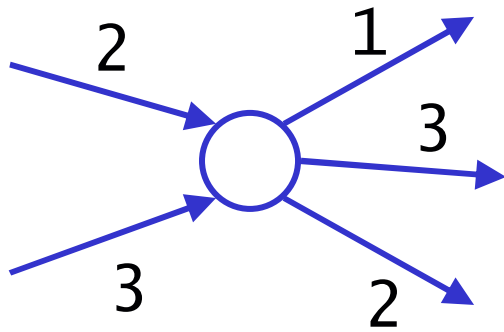
# single head on strings



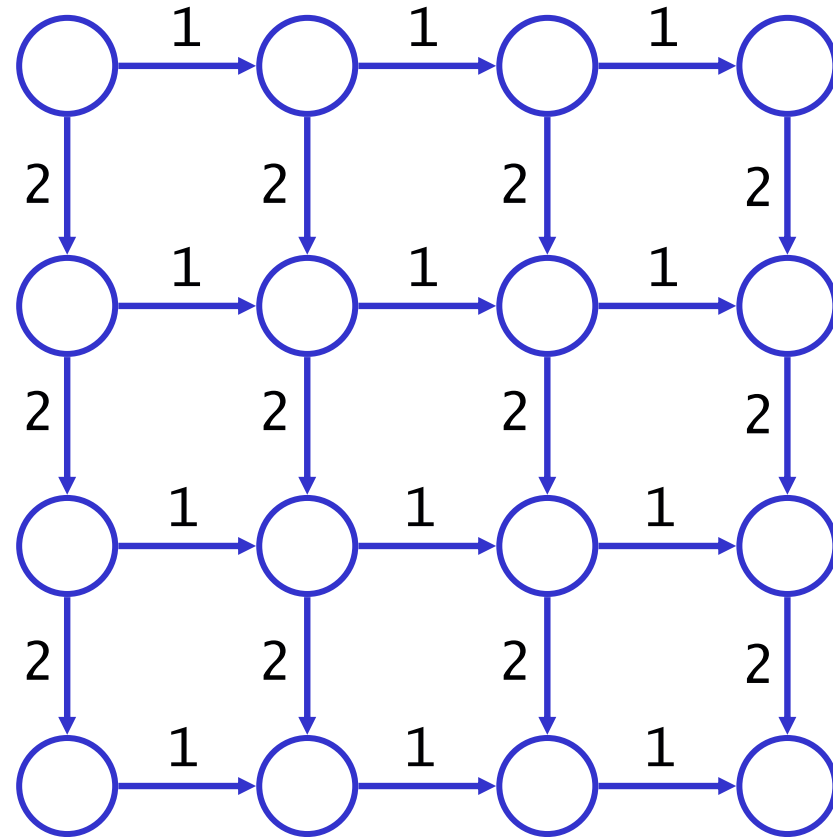


The following slides on graphs were not shown during the presentation. They were designed to illustrate that our result is valid for more general families that have a 'guide', a (pebble) automaton that visits all nodes and halts. Note the torus (one head two pebble guide) and the maze (two heads). Only small adaptations to either the logical or automaton framework are necessary.

# from trees to graphs



locally injective



grid, torus

## nested pebbles to logic

$\top$   
 $\text{lab}_a(x)$   
 $\text{edg}_i(x, y)$

~~$x \leq y$~~   
 $x = y$

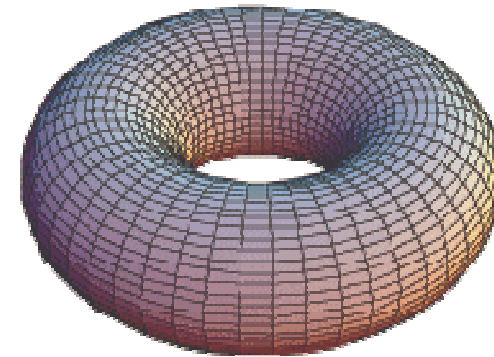
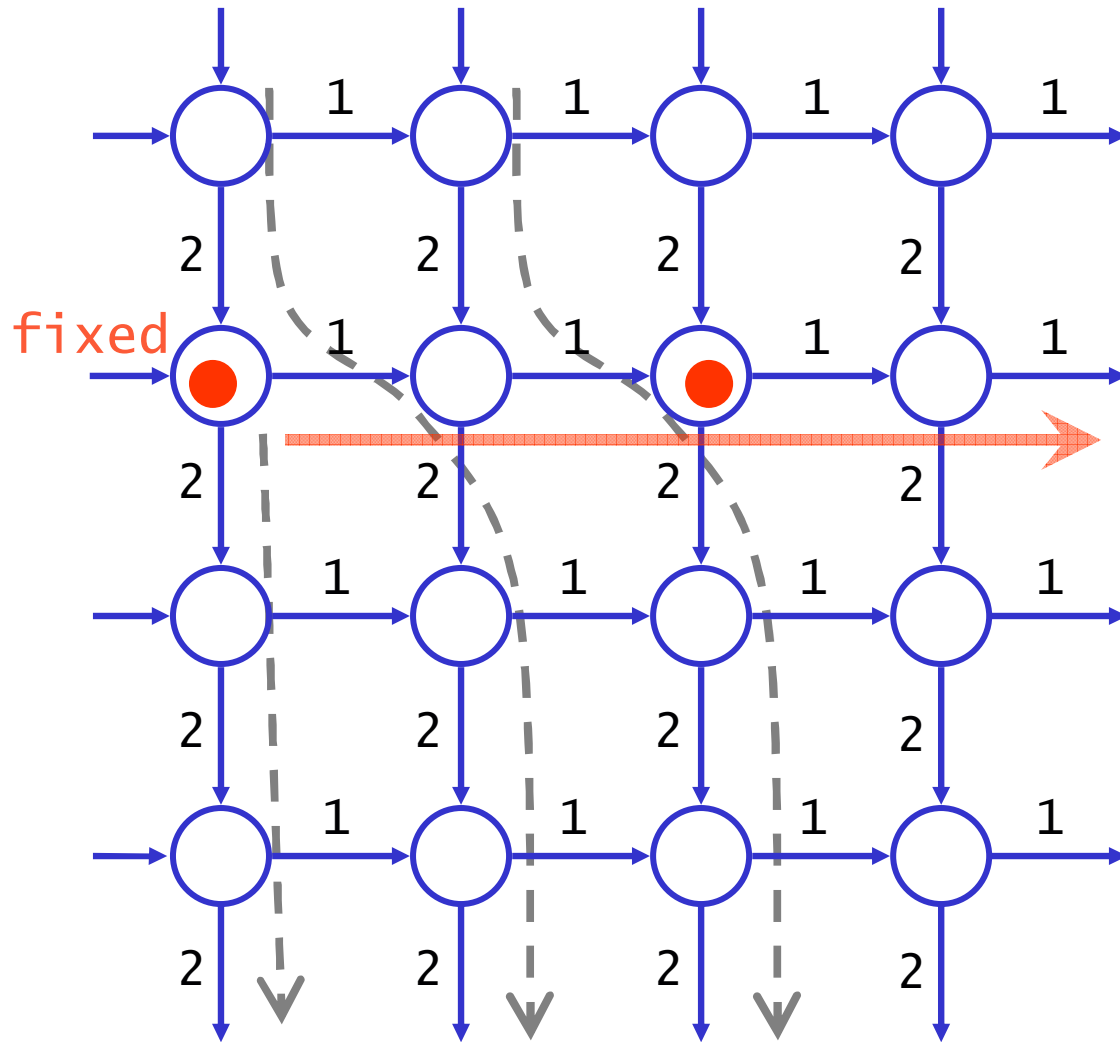
$\neg \wedge \vee$   
 $\forall x \exists x$

$\varphi^*(x, y)$

$$\text{dPTW}^k \subseteq \text{FO+dTC}^k$$

for families of graphs  
(i.e. with fixed label alphabets)

# walking the torus



two pebbles  
(nested)



$$\text{FO+dTC}^k = \text{dPTW}^k$$

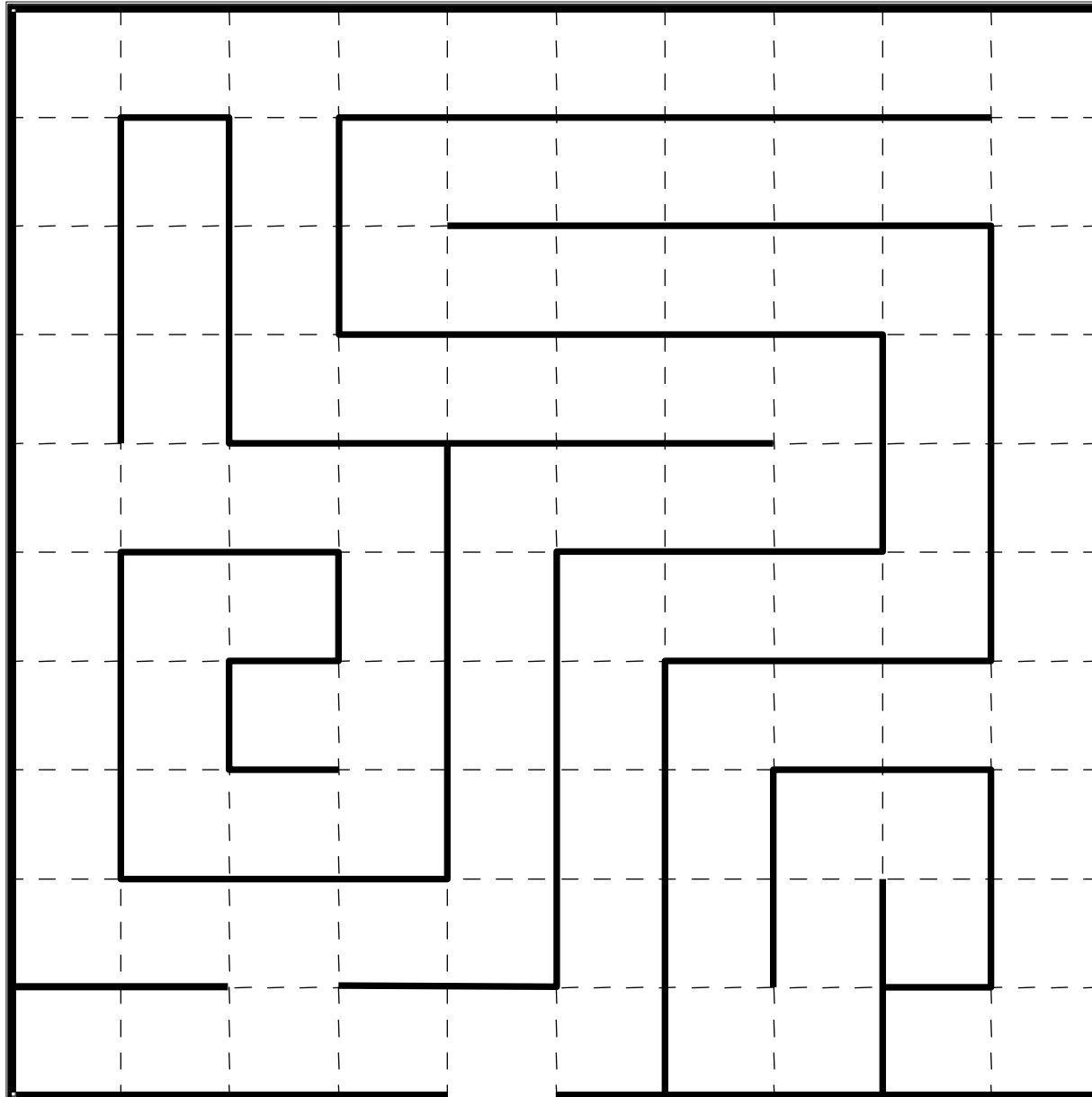
for families of *searchable* graphs  
with a 'guide'

guide: *single* head, deterministic  
with pebbles  
visits each node (at least) once  
& halts

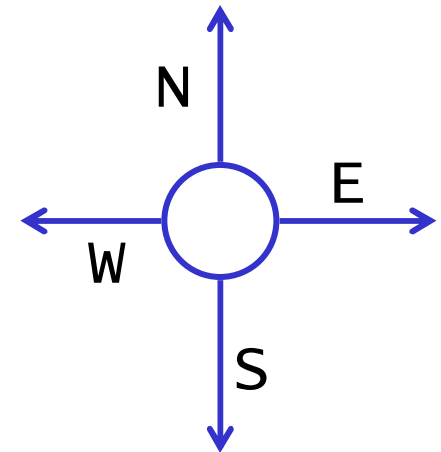
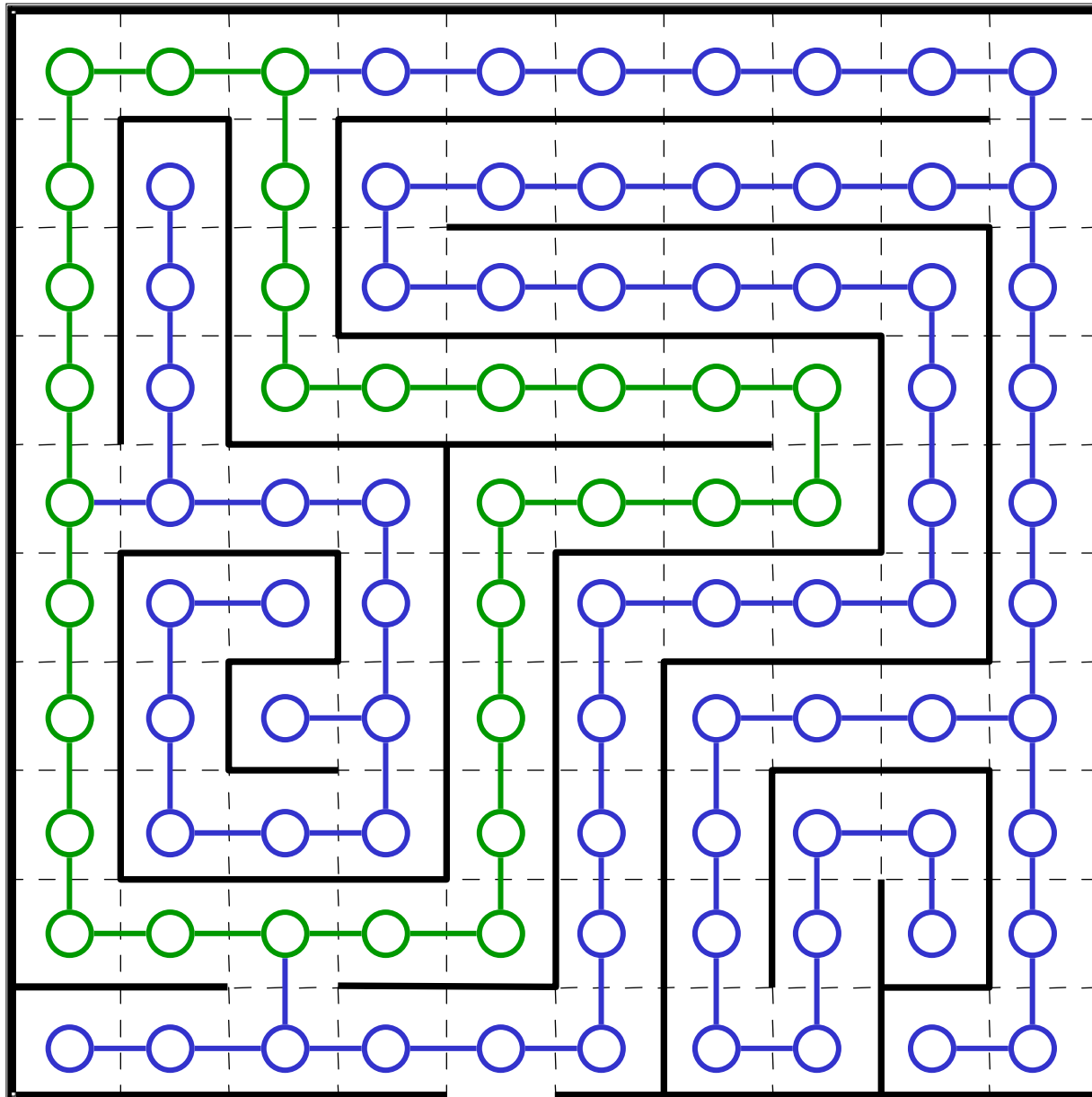
$$(\forall x) \uparrow \text{ab}_0(x)$$

unranked trees, grids, toruses, ...  
2 pebbles

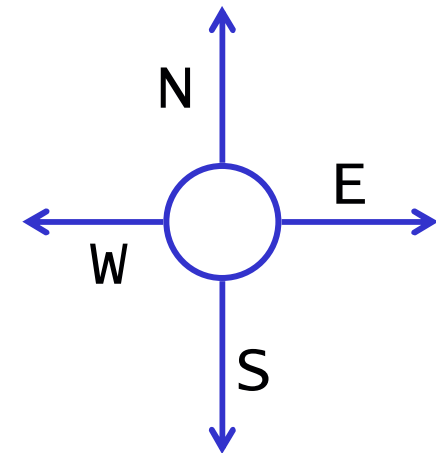
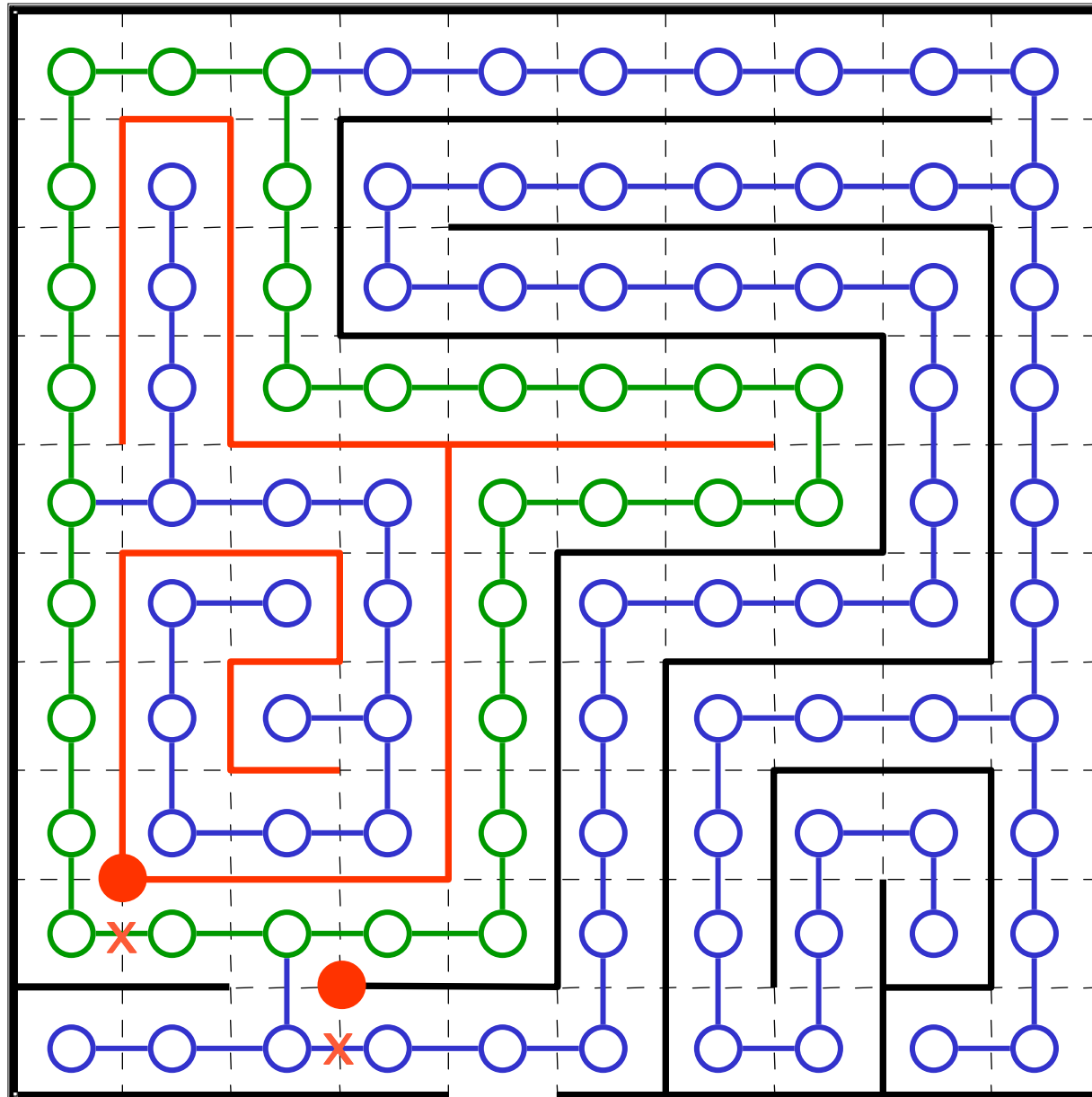
# mazes



# mazes



# mazes



Blum & Kozen

two heads!  
(*not* nested)

## searching with many heads

$$\text{FO+dTC}^k = \text{dPTW}^k$$

for families of *k-searchable* graphs

*k* heads, deterministic  
with pebbles  
visits each node (at least) once  
& halts

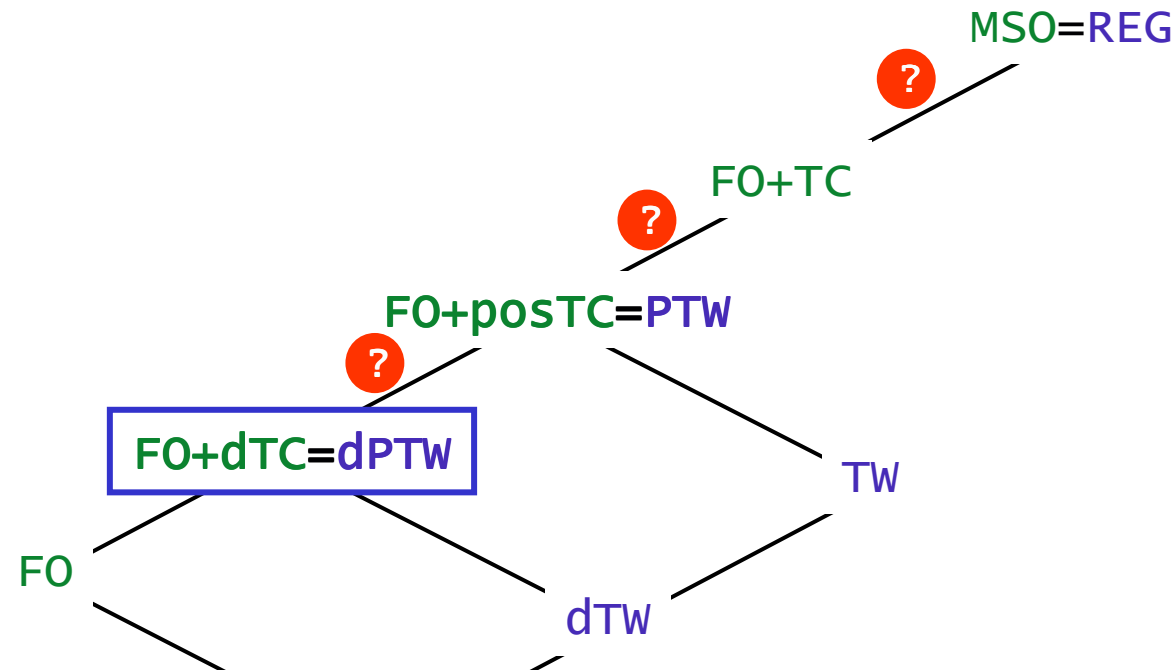
*additional instruction*  
move head to pebble

Cook & Rackoff  
'Jumping Automata'

mazes  
*not* all graphs

## finally: work to do ...

open for single head on trees:

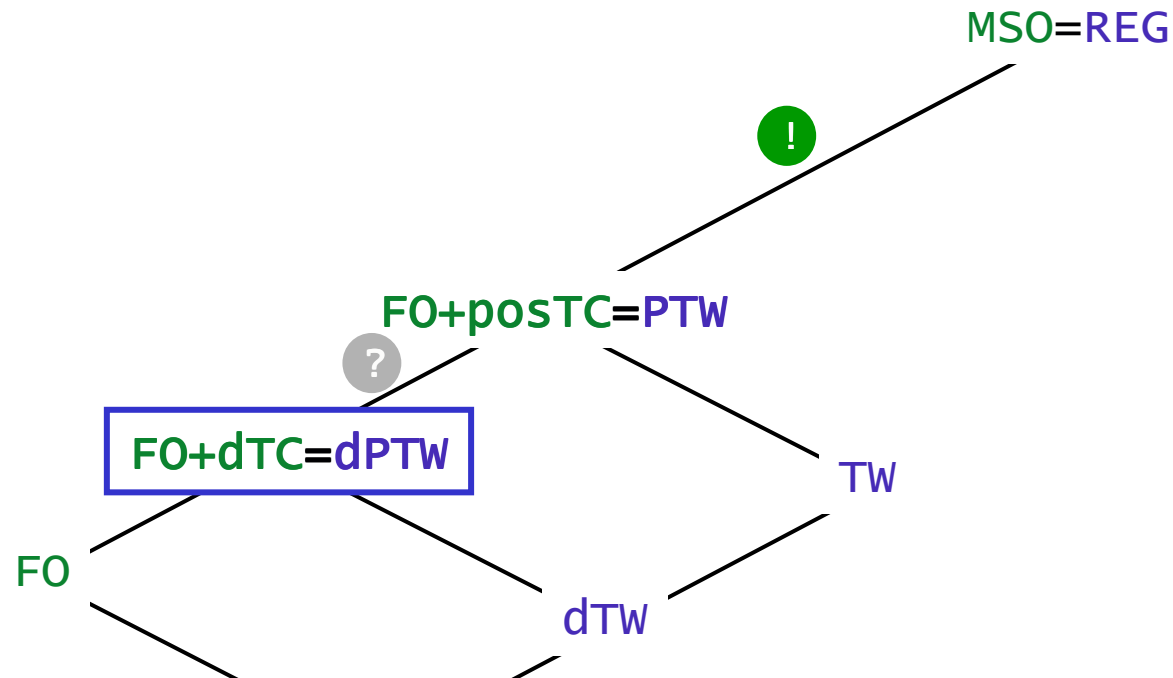


- ?  $dPTW \subset PTW \subset REG$
- ?  $FO+dTC \subset FO+postTC \subset FO+TC \subset MSO$
- ? pebble hierarchy
- ? type of pebbles strong vs. weak
- ? alternation

# finally: work to do ...

see ICALP'06

Bojańczyk, Samuelides, Schwentick, Segoufin

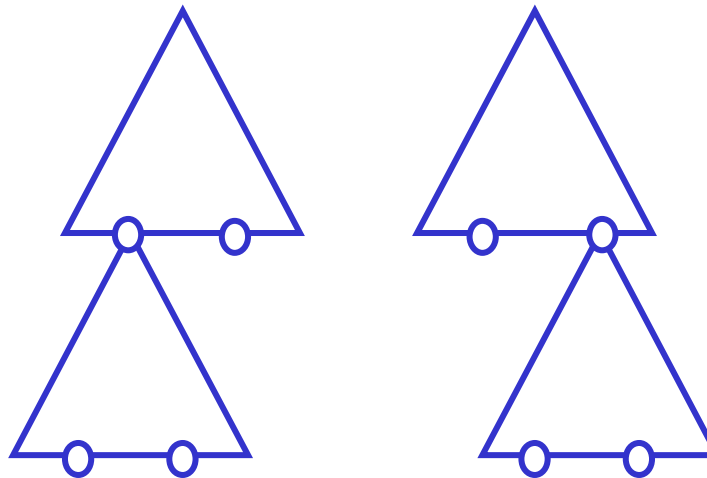


- !  $dPTW \subseteq PTW \subset REG$
- ?  $FO+dTC \subseteq FO+postTC \subseteq FO+TC \subseteq MSO$
- ! pebble hierarchy
- ! type of pebbles physical vs. abstract
- ? alternation

many heads? graphs?

finally: work to do ...

because ... we forgot about trees



Bojańczyk, Samuelides, Schwentick, Segoufin  
ICALP'06 and next talk ...



'tossing Pebbles'

Joost Engelfriet  
Hendrik Jan Hoozeboom  
Leiden NL



thank you ...

Bill Hanna

Joost Engelfriet